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Public ☐

Trade Remedy and Investigation Bureau  
Ministry of Commerce  
People's Republic of China

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Anti-dumping Case of Relevant Wines  
Questionnaire for Foreign Exporters or Producers

Please send the finished questionnaire within 37 days as of the date of issuance of this questionnaire to the following address:

2 East Chang'an Avenue, Beijing, People's Republic of China 100731

Policy and Regulations Division of Trade Remedy and Investigation  
Bureau of the Ministry of Commerce, People's Republic of China

Tel.: (86)—10—65198760 65198196 85093421

Fax: (86)—10—65197590

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Point of Contact and Position: \_\_\_\_\_

Tel: \_\_\_\_\_

Mob: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Zip Code: \_\_\_\_\_

Time of Delivery of the Questionnaire: \_\_\_\_\_

(Filled by the Investigation Authority upon receipt of the Questionnaire)

# Statement

We hereby certify that the information provided in the Questionnaire is complete, accurate and reliable. We understand that the information provided hereby shall be subject to verification by the Ministry of Commerce and contend to its utilization in this round of anti-dumping investigation and the ruling of the case by the Ministry of Commerce and its authorised staff.

Name: \_\_\_\_\_  
Legal Representative or the Person Authorised

Signature: \_\_\_\_\_  
Legal Representative or the Person Authorized

Date: \_\_\_\_\_

\*Please state below if there is any objection to the content abovementioned.

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# Instructions and Requirements

## I. General Instructions

1. The Questionnaire was developed for the purpose of establishing whether your Company dumped relevant wines within the boarder of the People's Republic of China during the investigation period, whether China's domestic relevant wines industry was injured and whether there was a causal link between the dumping and the injury.

(1) Scope of investigation: Imported wines originating in Australia in containers holding 2 litres or less.

(2) Name of the product under investigation: Wines in containers holding 2 litres or less, hereinafter referred to as "relevant wines".

English name: Wines in containers holding 2 liters or less

(3) Product description: wines in containers holding 2 litres or less that are made from full or partial fermentation, with fresh grapes or grape juice as raw material.

Main use: Mainly used for consumption as alcoholic beverage.

This product is listed under tariff numbers 22042100 in the Customs Import and Export Tariff of the People's Republic of China.

The scope of the product under investigation shall be subject to the notice on case filing.

2. The anti-dumping investigation shall be concluded within 12 months as of the date of the initiation of formal investigation and can be extended to no more than 18 months in special circumstances.

3. For this particular case, the anti-dumping investigation period is from 1 January 2019 to 31 December 2019; the injury investigation period is from 1 January 2015 to 31 December 2019. Unless otherwise specified in the Questionnaire, “the investigation period” shall refer to anti-dumping investigation period.

4. Please provide all information required by the Questionnaire in its most accurate and complete form within the timeframe required so that the Trade and Remedy Bureau could make analysis and judgement on your response as soon as possible. Your full cooperation during the investigation will play an important role in the outcome of the investigation.

5. You should provide detailed information about the export of the product under investigation to China, and sales of the like or similar products, production and sales of the product under investigation, operations and the financial conditions as well as the costs and profit of the like or similar products in the exporting country (region) during

the investigation period.

6. If you are just a trader who participated in the exports and sales to China instead of a producer of the product under investigation, you should forward the copies of the Questionnaire immediately to related producers so that producers and traders can work together on the Questionnaire. Besides, each of the companies involved should submit their own Questionnaire separately. With that being said, the sections you should answer only include:

- Section 1;
- Section 3;
- Section 4;
- Section 5- Questions 1-4, Question 9, Questions 11-12;
- Section 6- Questions 1-7 and Question 9 in Part 1 Accounting, and Question 7, Question 9 in Part 2 Process and Costs of Production and Related Fees;

7. If there is any information that must be provided by the trading company, your affiliates or any other company, you should forward copies of the Questionnaire to the aforementioned companies and ask them to respond to and fill out the Questionnaire with a Letter of Certification signed by the company's legal representative or the

person with authorization. The aforementioned companies shall submit their questionnaires separately to the Ministry of Commerce.

8. In the event of circumstances provided in Article 7, please ask each company to answer questions on costs actually incurred during the transactions related to their companies.

9. If you conduct consigned processing in the course of production and operation, namely, you entrust other companies to complete some links in producing and selling the product under investigation and its like product, such links remain parts the overall production and sales of your company. For the sake of the investigation and acquisition of necessary information, you and such companies shall complete the Questionnaire together.

10. "Section 7: Industry and Market Status" in the Questionnaire for Foreign Exporters or Producers aims to help the Investigating Authority understand whether there are special market conditions for grape cultivation, and wine production and sales in the domestic market of Australia. Cultivators, producers, traders, relevant industry associations and other relevant stakeholders are encouraged to complete the questions in this section as required.

11. If you are unable to submit the questionnaire within the required timeframe, or the questionnaire you submit is incomplete or inaccurate, or you fail to allow the Trade and Remedy Investigation Bureau to verify the information and materials you provide, the Trade and Remedy Bureau is free to make determinations on the basis of facts available and the best information available according to the Antidumping Regulation of People's Republic of China.

12. Please feel free to raise any questions about the Questionnaire and seek advice from the investigators listed in the Questionnaire. If you are unable to submit the Questionnaire within the required period and is able to present legitimate reasons to justify a delayed submission of the Questionnaire, please apply in writing 7 days before the deadline for an extension of period by stating the reasons for such delayed submission.

## **II. Specific Instructions and Requirements**

Please follow the requirements below when replying to the Questionnaire:

1. The Questionnaire must be **printed in simplified Chinese**. The Trade



and Remedy Bureau of the Ministry of Commerce only accepts evidence and supporting document in simplified Chinese. If the original document is drafted in another language, Chinese translations of the original documents with the original formatting maintained shall be provided, with the original or its copies attached.

2. Please read each question carefully before answering the question. When answering the question, please first state what the question is and then put the answers beneath it. If the question is not applicable to your company, please mark “Not Applicable” and list the reasons.

3. When answering questions regarding all sales of the product under investigation and the like or similar products in the domestic market, and all sales to the People’s Republic of China as well as the costs construction for the product under investigation and the like or similar products, please report the actually incurred costs for each transaction; and if it is impossible to provide the actually incurred costs for each transaction, please report the specific costs allocated to each transaction and explain the logic of such allocation with clear allocation standards and the calculation formula provided.

4. Please answer all questions based on the scale of product under

investigation as specified in the announcement of the investigation. If you have a different view on the determination of the Scale of Investigation, please state clearly and provide detailed evidence in Section 2 – The Product under Investigation.

5. Please indicate the sources of the information and materials you provide when replying to the Questionnaire and provide the copies of the sources. If it is from a website, please provide the screenshot of the web page.

6. Please use the measurements and currencies provided in the Questionnaire. If you use different measurements and/or currencies, please explain why and provide the conversion formula.

7. When answering the Questionnaire, please follow the requirements in preparing evidence such as contracts, sales records, financial statements, accounting records and among others. You should store and ensure all evidence and supporting materials provided in good shape for future verification.

8. When filling out the forms in the Questionnaire, please leave the calculation formulas as it is if calculations are done in the forms. If the formula is not retained, the reply shall be deemed incomplete.

9. Please submit the Questionnaire in two copies, one with confidential information included, and the other with only public information. Please tick “Confidential” or “Public” on the cover page of each of the two copies.

10. Please submit a request of confidentiality to Trade and Remedy Bureau if the Questionnaire you submit involves confidential information. Please also explain why such a request is necessary and furnish the Questionnaire with **non-confidential summaries** of the confidential information.

11. The summaries you provide shall contain the following information:

(1) Serial number of the confidential information and the corresponding page number in the confidential version of the Questionnaire;

(2) The nature of the information that requires confidentiality protection;

(3) Reasons for the confidentiality request; and

(4) The non-confidential summaries of the confidential information.

The non-confidential summaries shall be in sufficient and meaningful

detail to permit a reasonable understanding of the substance of the information for the other interested parties.

12. Please use [] to mark the parts in the public version that involve confidential information and note the serial number of the corresponding non-confidential summary.

13. The public Questionnaire and the confidential Questionnaire should be provided with one original and two copies respectively. All questionnaires should be properly bound into books with the pages numbered in sequence for the body of the Questionnaire and the annexed evidence. Please provide a Table of Contents for the Questionnaire and the annexes respectively. Each annex should be given a serial number.

14. The supporting documents for transactions should be filed in order of time when each transaction took place. Each transaction's supporting documents should be archived in order of the steps of the transaction with a checklist for the supporting documents attached.

15. Please make sure the disks that carries the written reply to the Questionnaire uses PDF and WPS respectively. If any other forms of

media are used, please make sure it is acceptable to the Trade and Remedy Bureau. All data forms shall be provided in PDF and WPS formats respectively. The signature page shall be scanned and provided in PDF format. In addition, if the original documents are not editable, such as, invoices, bills of lading and other transaction documents, you can submit in PDF format only. The formatting of the content in the disk should be the same as that of the Questionnaire provided. Two copies of the disk should be submitted. In addition, you shall submit the PDF version and the WPS version of the Questionnaire on the "Trade Remedy Investigation Information Platform" (<https://etrb.mofcom.gov.cn>).

16. Please make sure the electronic version you submit are clean and free of any virus. Should any virus be identified, it shall be deemed intentional impediment to the investigation. The Trade and Remedy Bureau is free to make determinations on the basis of facts available and the best information available.

17. It shall be deemed **uncooperative** if you fail to provide digital data carriers, especially the data carriers of spreadsheets or figures related to transactions and financial conditions. If you are unable to provide the said digital data carrier or unable to provide digital data carrier

that meets the requirements specified in the Questionnaire, you can submit a request to present reasons to the Trade and Remedy Bureau within 15 days as of the issuance of the Questionnaire.

18. If the number of transactions pertaining to Form 3-4, Form 3-5 and Form 4-2 is too large, you can provide information of part of the transactions when you are preparing the written reply to the Questionnaire, but the digital copy you provide must include data of all the transactions.

Please provide the information of the transactions by following the requirements below: Please provide all the data if the number of transactions is below 1000; If the number of transactions is between 1000-3000, starting from the first transaction, please provide the data of every sixth transaction; If the number of transactions is between 3000-10000, starting from the first transaction, please provide the data of every 11th transaction; If the number of transactions exceeds 10000, starting from the first transaction, please provide the data of every 51st transaction.

19. Please provide a Statement in Chinese signed by the Legal Representative or the Person with Authorization of your company in accordance with the requirements specified in the questionnaire,

which shall serve as a guarantee for the completeness and accuracy of the information you provide. The Trade and Remedy Bureau shall not accept any Questionnaire without a Statement as required.

20. Your Questionnaire shall be submitted by a lawyer licensed in the People's Republic of China to deal with the formalities. In the meantime, please provide an effective Power of Attorney as well as a copy of the lawyer's license that is effective and active.

21. Please mail or deliver the Questionnaire by 17:00 Beijing Time on the due date to the address provided on the cover page of the Questionnaire, and submit the PDF version and the WPS version of the Questionnaire on the "Trade Remedy Investigation Information Platform" (<https://etrb.mofcom.gov.cn>). The Trade Remedy and Investigation Bureau of the Ministry of Commerce regards the time when all written answers are collected and when electronic answers are submitted on the "Trade Remedy Investigation Information Platform" as the time for submission of answers.

22. When it comes to providing information within a certain period of time, any period not explicitly defined shall be interpreted as meaning the investigation period.

23. The Trade and Remedy Bureau may, for the sake of the investigation, ask you to provide additional supporting materials and information on a case-by-case basis.



## Section 1 Company Organization Structure and Operations

This section requires detailed information about your company's organization structure and operations.

1. Please provide the registered name, the English name<sup>1</sup> most often used, and the category of business entity.
2. Please, based on the format of "Form 1-1 Shareholders"<sup>2</sup>, list your company's owner and ten biggest shareholders as well as the amount of each of their shares or the proportion of their shareholding. Please use Form 1-2 to list the board members and their positions in your company.
3. Please fill out "Form 1-3(a) " and provide information of all of your subsidiaries or affiliates<sup>3</sup> that are related to the production and sales

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<sup>1</sup>The English name on the business license or used with the Customs

<sup>2</sup>Please refer to the Annexes for all the forms in the Questionnaire.

<sup>3</sup>'The affiliate' refers to businesses that meet any one of the following conditions: 1. A company directly or indirectly controls another company's operations or decision-making by means of ownership, shareholding or agreements; 2. Two companies' operations or decision making are directly or indirectly controlled by a company by means of ownership, shareholding or agreements; 3. Two companies jointly controls a company's operations or decision-making, directly or indirectly, by means of ownership, shareholding or agreements.

of the product under investigation. Please detail activities conducted by each affiliate of your company in the process of producing and selling the product under investigation. Please provide the proportion of the shares you hold in each affiliate, and the shares the affiliate(s) hold in your company. Please also clarify the shareholding proportions if both you and the affiliate(s) own/hold or are owned/held by a company.

4. Do you or your affiliate(s) produce the like product in China? If the answer is yes, please fill out Form 1-3(b) and provide information of the production of your company or the Chinese local produce(s) affiliated to your company.

5. Please designate a Person in Charge for this case in your company and provide the following information:

Name:

Position:

Address:

Tel: \_\_\_\_\_

Fax:

6. Please introduce your company's organization structure and the functions and provide an organization chart that details the departments engaged in production and sales of the product under investigation, especially the roles of the departments engaged in products development, production, sales, and distribution.

7. If your company is a transnational corporation, please provide organization structure of your company or the global organization structure of the corporation that your company sits under as well as the affiliated organizations, including the parent company, the subsidiaries, the affiliates, and the factories that produce the product under investigation, the distributors, and research institutes. Please provide a list that includes their names and addresses and briefly introduce the purpose of each of the said agencies and the activities that they are engaged in, especially those related to the product under investigation.

8. Please fill out and use the format of "Form 1-4 Operations" and provide the sales information in the past three consecutive years and during the investigation period so that the Trade and Remedy Bureau can have a full understanding of your company's overall business operations over the past several years including the operations related

to the product under investigation.

9. If you produce the product under investigation, please fill out and use the format of "Form 1-5 Product Production Capacity" and provide information related to the production capacity of your company over the past three consecutive years and during the investigation period so that the Trade and Remedy Bureau can have a full understanding of your company's overall production including the production of the product under investigation.

10. Besides producing and selling the product under investigation, if you in the meantime purchased the said product from other companies and sold such product during the investigation period, please fill out and use the format of "Form 1-6 Purchase of the Product under Investigation" and provide details of each transaction.

11. If you are just a trader acting participating in the exporting and selling to China but not a producer of the product under investigation, please skip Form 1-5 to Form 1-6, and provide information about the purchase and sales of the product under investigation during the investigation period so that the Trade and Remedy Bureau can understand your purchase costs as a trader. Please provide details of

each transaction.

12. For the product under investigation and the like product, please provide names and addresses of companies engaged in the following businesses:

- Research and development
- Production or manufacturing
- Sales in the domestic market
- Exports to China
- Exports to countries (regions) other than China
- licensed production
- acceptance of product-related technology and patent transfer

13. Please provide a clear map of the country or region where your company is domiciled and mark the locations of your company and the departments as well as the affiliate(s) related to the sales and production of the product under investigation.

## Section 2 The Product under Investigation

Producers of the product under investigation are required to reply to this section. Please provide detailed information about the product under investigation exported to China, the like or similar product sold in the domestic market of the exporting country (region) and the like or similar product exported to other countries (regions).

1. For the product under investigation produced and exported to China by your company,

(1) Please provide technical descriptions regarding the product under investigation that you produced and sold to China during the investigation period, including physical characteristics, chemical characteristics, and production process;

(2) If you categorize the said product into different types, each of which is given a code, please provide the codes and describe the method and standards for the coding and categorization system;

(3) Please note the parts where the codes are used with explanations provided if necessary.

(4) Please advise and provide related information if you found the product under investigation was imported into China under other HS Codes.

2. For the same product or products similar to the product under investigation (hereafter referred to as "the like product"<sup>4</sup>) produced and sold by your company in the domestic market:

(1) Please provide technical descriptions regarding all the products (including the like product) that you produced and sold in the domestic market during the investigation period, including physical characteristics, chemical characteristics, and production process;

(2) Please identify, among all the products abovementioned, which are the like product, and provide technical descriptions of the like product including physical characteristics, chemical characteristics, and production process;

(3) If you categorize the product under investigation and its like

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<sup>4</sup>Like products refer to the same products as the product under investigation; the products with the most similar characteristics to the product under investigation if there is no the same product.

product into different types, each of which is given a code, please provide the codes and describe the method and standards for the coding and categorization system;

(4) Please note the parts where the codes are used with explanations provided if necessary.

3. For the like products that you sold to other countries (regions),

(1) Please provide technical descriptions regarding the like product that you sold to other countries (regions) during the investigation period, including physical characteristics, chemical characteristics, and production process;

(2) If you categorize the said like products into different types, each of which is given a code, please provide the codes and describe the method and standards for the coding and categorization system;

(3) Please note the parts where the codes are used with explanations provided if necessary.

4. The Trade and Remedy Bureau will compare the products you sold to the Chinese market with the following products. Please provide



separate descriptions on each of different types of the products, including physical characteristics, chemical characteristics, usage, raw materials, production equipment and process, production costs, distribution channels, conditions and terms of sale, and fungibility. (If there are multiple types of the product under investigation, please provide descriptions for each type.)

(1) The like product in the Chinese market

① Please identify the product in China that is comparable to the product under investigation that you exported to China;

② If you believe the product under investigation exported to China is different from the like product in China, please explain the differences;

③ Please provide reasons if you believe such differences affect the determination of the similarity of the two products.

(2) The like product that you sold in the domestic market of your country

① Please identify the differences between the like product you sold in the domestic market and the product under investigation that you exported to China;

② Please compare and identify the differences between your domestic type coding system and the type coding system you use for the exports to China;

③ Please provide a list or catalogue that contains the type and its codes you use for sales to the domestic market and the Chinese market respectively, and point out the corresponding types or coding systems applicable to the product under investigation and the like product.

(3) The like product that you sold to other countries (regions)

Please identify and describe in detail the differences, if any, between the like product you sold to other countries (regions) and the product under investigation that you exported to China;

5. Please describe in detail the differences in productions and sales costs of all models of the aforesaid like or similar products you sold in

the domestic market and exported to China, including the following factors such as production input, designing, specifications, standards, production process and equipment and other factors that could lead to difference in pricing of all models.

6. Is the product under investigation produced or exported by your company identical with like products not under investigation from other countries (regions) regarding product characteristics, uses, and production and sales conditions? If true, please explain briefly. If no, please further explain the advantages and disadvantages of your product as compared to like products not under investigation from other countries (regions) (i.e.: production equipment, production costs, production quality, technical support, product specification range and transportation conditions, etc.).

7. Please provide a general introduction on the following factors of the like product in your country (region) during each year of the injury investigation period, including the domestic market demand, primary manufacturers, production capacity, output, sales volume, and prices.

8. Please provide a general introduction on the following factors of the like product in the international market during each year of the injury

investigation period, including the domestic market demand, manufacturers, production capacity, output, sales volume, and prices.

9. (1) Are there any other trade remedy measures or non-tariff measures that used to be or are being applied to the product under investigation produced or exported by your company in China or any other countries (regions)? If the answer is yes, please fill out and use the format of Form 2-1 Application of Trade Remedy Measures or Non-tariff Measures to the Product Under Investigation.

(2) Is the product under investigation produced or exported by your company currently under other trade remedy investigations? If the answer is yes, please fill out and use the format of Form 2-2 Other Trade Remedy Investigations of the Product under Investigation.

10. In order to make a fair comparison of prices and costs, the Trade Remedy and Investigation Bureau of the Ministry of Commerce classifies the product under investigation and its similar product into different groups in accordance with the product control codes (as shown in the table below).

Description	Encoding Length	Encoding	Notes
Category	Indicated	A	A refers to wines in a narrow sense, excluding special

	with 1 letter		wines.
		B	B refers to special wines, including liqueur wines, icewines, botrytised wines, flor or film wines, aromatized wines, low alcohol wines, dealcoholized wines and wild grape wines, etc., excluding wines other than those specified in HS code 22042100.
Color	Indicated with 1 digit	1	1 represents red wines, which are purplish red, deep red, ruby red, red with slight brown or red brown.
		2	2 represents white wines, which are nearly colourless, pale yellow with slight green, light yellow, straw yellow or golden yellow.
		3	3 represents rose wines, which are pink, light rose-red or light red.
		0	0 represents the circumstance that special wines cannot be classified.
Sugar level	Indicated with 1 digit	1	1 represents dry wines. The total sugar (in terms of wine sauce) does not exceed 4g/L. When the difference of saccharic acid (in tartaric acid) is not greater than 2g/L, the sugar level does not exceed 9 g/L.
		2	2 represents semi-dry wines. The total sugar (in terms of wine sauce) exceeds 4g/L, but not exceed 12 g/L. When the difference of saccharic acid (in terms of tartaric acid) is not greater than 2g/L, the sugar level does not exceed 18 g/L.
		3	3 represents semi-sweet wines. The total sugar (in terms of wine sauce) exceeds 12g/L, but not exceed 45 g/L.
		4	4 represents sweet wines. The total sugar (in terms of glucose) exceeds 45 g/L.
		0	0 represents the circumstance that special wines cannot be classified.
Specification	Indicated with 1 digit	1	1 represents 750ml bottled wine.
		2	2 represents 375ml bottled wine.
		0	0 represents other wines in containers holding 2 litres or less.

Breed	Indicated with 6 digits	xxxxxx	The serial numbers are shown in Table 1 (Grape Varieties). If the proportion of wine produced from a particular grape variety is not less than 75% of the wine content (in terms of volume fraction), the code is the serial number of the grape variety, and 000. Otherwise, it is coded as the serial numbers of the two grape varieties with the largest proportion in the order of proportion.
Year	Indicated with 4 digits	xxxx	If the proportion of wine produced from grapes (of the same variety or not) harvested in a particular year is not less than 85% of the wine content (in terms of volume fraction), the code is the year when the grapes are harvested. Otherwise, the code is 0000.
Large Producing Area	Indicated with 2 digits	01-27	The serial numbers are shown in Table 2 (Large Producing Areas). The affirmation of producing areas shall be subject to the rules of Wine Australia and only a single producing area is considered.
Small Producing Area	Indicated with 2 digits	01-66	The serial numbers are shown in Table 3 (Small Producing Areas). The affirmation of producing areas shall be subject to the rules of Wine Australia and only a single producing area is considered.

Note: Please refer to relevant provisions of the International Organisation of Vine and Wine for the operation specifications in the above remarks.

Table 1 (Grape Varieties):

Number	Breed	Synonyms
001	Abouriou	
002	Aglianico	
003	Albariño	<i>Albarino</i> <i>Alvarinho</i>
004	Albillo	
005	Aléatico	
006	Alfrocheiro	
007	Alicante Bouschet	<i>Alicante Henri Bouschet</i>
008	Aligoté	
009	Alphonse Lavallée	

010	Alvarelhao	
011	Ancellotta	
012	Ansonica	<i>Inzolia</i> <i>Insolia</i>
013	Aranel	
014	Arinto	
015	Arneis	
016	Assyrtiko	
017	Azal	
018	Aubun	
019	Auxerrois	<i>Aucerot</i>
020	Barbera	
021	Bastardo	<i>Trousseau</i>
022	Bianco d'Alessano	
023	Biancone	
024	Black Corinth	<i>Zante Currant</i>
025	Blaufrankisch	<i>Blaufränkisch</i> <i>Blaufraenkisch</i> <i>Limberger/Lemberger</i>
026	Boal Branco	<i>Malvasia Fina</i>
027	Bonvedro	<i>Parraleta</i> <i>Carcajolo Nero</i> <i>Tinta Caiada</i>
028	Bourboulenc	
029	Brachetto	
030	Brown Frontignac	<i>Brown Muscat</i> <i>Muscat à petits grains rouges</i>
031	Burger	
032	Cabernet Franc	
033	Cabernet Sauvignon	<i>Cabernet</i>
034	Canaiolo	
035	Cañocazo	
036	Cardinal	

037	Carignan	<i>Carignane</i> <i>Mazuela</i>
038	Carina	
039	Carmenère	
040	Carnelian	
041	Cascade	
042	Cesanese	
043	Chambourcin	
044	Chardonnay	
045	Chardonnay Musque	
046	Chasselas	
047	Chenin Blanc	
048	Cienna	
049	Cinsaut	<i>Oeillade</i> <i>Blue Imperial</i>
050	Clairette	<i>Blanquette</i>
051	Colombard	
052	Colorino	
053	Cortese	
054	Corvina	
055	Counoise	
056	Crouchen	
057	Cygne Blanc	
058	Dolcetto	
059	Doradillo	<i>Cayetana Blanca</i>
060	Dourado	<i>Galego Dourado</i>
061	Durif	<i>Petite Sirah</i>
062	Ehrenfelser	
063	Emerald Riesling	
064	Farana	<i>Damaschino</i> <i>Planta Fina de Pedralba</i>
065	Fernao Pires	
066	Fiano	



067	Flora	
068	Folle Blanche	
069	Fortana	
070	Freisa	
071	Frontignac	<i>Moscato Bianco</i> <i>Muscat Blanc</i> <i>Muscat à petits grains blancs</i> <i>White Frontignac</i>
072	Furmint	
073	Gamay	
074	Garganega	
075	Gewurztraminer	<i>Gewürztraminer</i> <i>Red Traminer</i> <i>Savagnin Rose Aromatique</i> <i>Traminer</i>
076	Godello	
077	Gouais	
078	Graciano	<i>Morrastel</i>
079	Grand Noir	
080	Greco	
081	Grenache Blanc	
082	Grenache	<i>Garnacha</i> <i>Garnacha Tinta</i> <i>Granaxia</i>
083	Grey Grenache	
084	Grignolino	
085	Grillo	
086	Grolleau	
087	Gros Cabernet	
088	Gruener Veltliner	<i>Grüner Veltliner</i> <i>Gruener Veltliner</i>
089	Harslevelu	
090	Isabella	

091	Jacquez	<i>Troya</i>
092	Kardarka	<i>Gamza</i>
093	Kerner	
094	Kyoho	
095	Lacrima	
096	Lagrein	
097	Lambrusco Maestri	
098	Lambrusco	
099	Lambrusco Salamino	
100	Lexia	<i>Gordo</i>
101	Maccabeo	<i>Macabeo</i> <i>Viura</i>
102	Maceratino	
103	Malbec	<i>Cot</i>
104	Malvasia	<i>Malvasia Bianca</i>
105	Malvasia Istria	<i>Malvasia Istriana</i>
106	Manseng Petit Blanc	<i>Petit Manseng</i> <i>Mansengou</i>
107	Mammolo	
108	Marroo Seedless	
109	Marsanne	
110	Marzemino	
111	Mataro	<i>Balzac Noir</i> <i>Mourvèdre</i> <i>Monastrell</i>
112	Melon	<i>Muscadet</i>
113	Mencia	
114	Merbein Seedless	
115	Merlot	
116	Meslier	<i>Meslier Doré</i> <i>Petit Meslier</i>
117	Molinara	
118	Mondeuse	

119	Montepulciano	<i>Cordisco</i>
120	Montils	
121	Morio Muscat	<i>Morio-Muskat</i>
122	Moscato	<i>See register of Geographical Indications and other terms - Section 4 Additional Terms and conditions of use.</i>
123	Moscato Giallo	
124	Mueller-Thurgau	<i>Muller-Thurgau</i>
125	Muscadelle	<i>Tokay</i>
126	Muscardin	
127	Muscat à petits grains noirs	<i>Black Frontignac</i>
128	Muscat Gordo Blanco	<i>Gordo</i> <i>Lexia</i> <i>Muscat d'Alexandrie Muscat of Alexandria</i> <i>Zibibbo</i>
129	Muscat Hamburg	<i>Black Muscat</i> <i>Muscat de Hambourg</i>
130	Muscat Menudo Blanco	
131	Nebbiolo	
132	Négrette	
133	Negro Amaro	<i>Negroamaro</i>
134	Nero d'Avola	
135	Nosiola	
136	Ondenc	<i>Irvine's White</i>
137	Orange Muscat	<i>Muscat Fleur d'Oranger</i>
138	Palomino	<i>Listán</i> <i>Palomino Fino</i>
139	Parellada	
140	Pavana	
141	Pedro Ximenes	<i>Pedro Ximenez</i>
142	Petit Verdot	
143	Picardan	
144	Picolit	
145	Pignoletto	<i>Grechetto di Todi</i>

146	Pinot Blanc	
147	Pinot Gris	<i>Pinot Grigio</i> <i>Pinot Beurot</i> <i>Ruländer</i>
148	Pinot Meunier	<i>Meunier</i>
149	Pinot Noir	<i>Pinot Nero</i>
150	Pinotage	
151	Piquepoul Blanc	<i>Picpoul de Pinet</i> <i>Piquepoul de Pinet</i>
152	Piquepoul Noir	
153	Prieto Picudo	
154	Prosecco	<i>Glera</i>
155	Red Frontignac	<i>Muscat a petits grains roses</i>
156	Refosco dal Peduncolo Rosso	
157	Riesling	
158	Ribier	
159	Ribolla Gialla	
160	Rkatsiteli	<i>Rkatziteli</i>
161	Rondinella	
162	Rosaki	<i>Waltham Cross</i>
163	Rossese Bianco	
164	Roussanne	
165	Rubienne	
166	Rubired	
167	Ruby Cabernet	
168	Sagrantino	
169	Saint-Macaire	
170	Sangiovese	<i>Sangiovese</i>
171	Saperavi	
172	Sauvignon Blanc	<i>Fumé Blanc</i> <i>Sauvignon</i>
173	Sauvignonasse	<i>Sauvignon vert</i>
174	Sauvignon Gris	

175	Savagnin	<i>Savagnin Blanc</i> <i>Traminer</i>
176	Scheurebe	
177	Schönburger	<i>Schoenburger</i> <i>Schonburger</i>
178	Schioppettino	
179	Semillon	
180	Sercial	
181	Shiraz	<i>Syrah</i>
182	Siegerrebe	
183	Souzao	<i>Sousao</i> <i>Vinhao</i>
184	Sultana	<i>Sultanina</i> <i>Thompson Seedless</i>
185	Sumoll	
186	Sylvaner	<i>Silvaner</i>
187	Symphony	
188	Syrian	
189	Taminga	
190	Tannat	
191	Tarrango	
192	Tempranillo	<i>Aragonez</i> <i>Tinta Roriz</i>
193	Teroldego	
194	Terret Noir	
195	Tinta Amarela	<i>Trincadeira</i> <i>Trincadeira Preta</i> <i>Tinta Amarelha</i>
196	Tinta Barroca	<i>Tinta Barocca</i>
197	Tintilia	
198	Tinto Cão	<i>Tinta Cão</i>
199	Tinta Francisca	
200	Tinta Negra Mole	<i>Tinta Negra</i>

		<i>Negramoll</i>
201	Torrontes	
202	Touriga Franca	<i>Touriga Francesa</i>
203	Touriga	<i>Touriga Nacional</i>
204	Trebbiano	<i>Ugni Blanc</i>
205	Trollinger	
206	Trousseau	
207	Tulillah	
208	Tyrian	
209	Vaccarese	<i>Brun Argente</i>
210	Valdigué	
211	Verdejo	
212	Verdelho	
213	Verdicchio Bianco	
214	Verduzzo	
215	Vermantino	<i>Rolle</i>
216	Vernaccia	
217	Villard Blanc	
218	Viognier	
219	Viosinho	
220	White Frontignac	<i>Muscat à petits grains blancs</i>
221	Xarello	
222	Zinfandel	<i>Primitivo</i> <i>Tribidrag</i>
223	Zweigelt	<i>Zweigeltrebe</i>
224	Other	

Table 2 (Large Producing Areas):

Number	Large Producing Area	Notes
01	Central Western Australia	Western Australia
02	Eastern Plains, Inland & North of Western Australia	
03	Greater Perth	
04	South West Australia	

05	West Australia South East Coastal	
06	Barossa	South Australia
07	Far North	
08	Fleurieu	
09	Lower Murray	
10	Limestone Coast	
11	Mount Lofty Ranges	
12	The Peninsulas	
13	Central Victoria	Victoria
14	Gippsland	
15	North East Victoria	
16	North West Victoria	
17	Port Phillip	
18	Western Victoria	
19	Big Rivers	New South Wales
20	Central Ranges	
21	Hunter Valley	
22	Northern Rivers	
23	Northern Slopes	
24	South Coast	
25	Southern New South Wales	
26	Western Plains	
27	Others	

Table 3 (Small Producing Areas)

Number	Small Producing Area	Notes
01	Swan District	Western Australia
02	Perth Hills	
03	Peel	
04	Geographe	
05	Margaret River	
06	Blackwood Valley	
07	Pemberton	
08	Manjimup	

09	Great Southern	
10	Southern Flinders Ranges	South Australia
11	Clare Valley	
12	Barossa Valley	
13	Eden Valley	
14	Riverland	
15	Adelaide Plains	
16	Adelaide Hills	
17	McLaren Vale	
18	Kangaroo Island	
19	Southern Fleurieu	
20	Currency Creek	
21	Langhorne Creek	
22	Padthaway	
23	Mount Benson	
24	Wrattonbully	
25	Robe	
26	Coonawarra	
27	Mount Gambier	
28	South Burnett	Queensland
29	Granite Belt	
30	New England Australia	New South Wales
31	Hastings River	
32	Hunter	
33	Mudgee	
34	Orange	
35	Cowra	
36	Riverina	
37	Hilltops	
38	Southern Highlands	
39	Gundagai	
40	Canberra District	



41	Shoalhaven Coast	
42	Tumbarumba	
43	Perricoota	
44	Murray Darling	Victoria
45	Swan Hill	
46	Goulburn Valley	
47	Rutherglen	
48	Glenrowan	
49	Beechworth	
50	King Valley	
51	Alpine Valleys	
52	Strathbogie Ranges	
53	Upper Goulburn	
54	Heathcote	
55	Bendigo	
56	Pyrenees	
57	Macedon Ranges	
58	Sunbury	
59	Grampians	
60	Henty	
61	Geelong	
62	Yarra Valley	
63	Mornington Peninsula	
64	Gippsland	
65	Tasmania	Tasmania
66	Others	

Examples:

The code A11118103320170612 indicates "wine in a narrow sense- red wine- dry wine- 750ml bottled wine- the two grape varieties with the highest proportion of wine content are Shiraz and Cabernet Sauvignon respectively, with Shiraz less than 75%- the proportion of wines produced from grapes harvested in 2017 is not less than 85%- produced in the Barossa- produced in Barossa Valley".

Please note that product control codes provided by your company shall not contain any space, comma or other delimiters.

Product control codes will be used to compare the price of the product under investigation exported by your company to China with that of like or similar products sold by your company in the domestic (intraregional) market or exported to third countries (regions), as well as the comparison between selling prices and costs. Therefore, please use the product control code accurately and consistently in all your answers (including electronic data carriers, excluding Section V of this Questionnaire).

11. If you divide the product under investigation and its like product into different qualities or grades in daily production and sales management, please explain the quality or grades and the classification standards and methods, and provide corresponding supporting documents.

12. Please provide the comparison of sales of the product under investigation in China and the sales of its like product in your domestic (intraregional) markets and other countries (regions) during the investigation period following the format of "Form 2-3: Comparison of

Sales of the Product under Investigation and Its Similar Product".

## Section 3 Exports and Sales to the Chinese Market

Producers or exporters of the product under investigation are required to reply to this section. Please provide all information available about the product under investigation that you exported and sold to China during the investigation period.

1. The reply to this section shall include all transactions of direct exports to China by your company during the investigation period and all transactions of exports to China by the traders.
2. Usually the date shown on the invoice shall be deemed as the date when a transaction happened. You only need to provide information of all transactions with dates shown on the receipts that fell in the range of the investigation period. Please clarify and explain if you have to use other dates as the date of a transaction.
3. If you only exported or sold the product under investigation to China instead of producing the said product, please forward the copies of the Questionnaire to the related producers.

If you exported and sold the product under investigation partly or entirely through a trader, please forward the copies of the Questionnaire immediately to the relevant exporter.

If multiple exporters were involved, please include all exporters that participated in the exporting and selling of the product under investigation to China.

Should there be any additional producers or exporters, the Person in Charge for this case should be notified immediately about their accurate addresses and the points of contact.

4. In the event of circumstances provided in Article 3, please provide the actually incurred costs and the activities you were engaged in. For the costs and activities irrelevant to your company, please leave the questions to the related producer or exporter, each of whom shall answer the corresponding questions following the requirements in the Questionnaire.

5. Please fill out Form 3-1 Clients of Exports and Sale and provide information of clients in your exports and sales to China during the

investigation period, and number these clients in the descending/ascending order of the monetary amount of the transactions of the product under investigation.

6. If the product under investigation was resold by your affiliate(s) in China, please fill out Form 3-2 Resale Clients of the Affiliate(s) in China and provide information of the said clients and number them in the descending/ascending order of the monetary amount of the transactions of the product under investigation.

7. The Investigating Authority usually compares the export price and the normal value at the same level of trade, normally at the ex-factory level. Therefore, please describe the sale and distribution channels of your domestic sale and provide a flow chart of the entire process of the exports and sales to the Chinese market, including the flow of the goods, parties involved during the flow of the goods and the roles they played, and the owners in the flow of the goods. The process of the sale shall span from shipping from the barley fields until the goods reached the first independent buyer. Please also provide conditions and terms of the sale and the pricing for each level of trade.

8. Please provide the information of all clients of your exports to China

and the entire process of every type of transactions. If there are different sale channels for different clients or multiple channels for one client, please provide a list of all different channels if necessary.

9. If you decided to adjust the export price to the ex-factory level, please provide descriptions on the factors that you take into consideration and explain why you make such adjustments.

10. If you are just an exporter of the product under investigation to China, in addition to the description of the entire process of exporting and selling, please also clarify how the export price to China was determined, by you or by your supplier(s). Please provide detailed description as well if there are any other ways to construct the export price.

11. Please provide copies of all the price catalogues applicable during the investigation period and the price catalogues currently applicable, including the catalogues applicable to different clients, affiliates, and domestic transactions.

12. Please clarify if your company makes production plans according to the orders, or after the sales agreement is signed, or based on your

company's usual production plan.

13. Please compare the prices of all transactions regarding the product under investigation you exported to China. If the prices are different, please fill out Form 3-3 Reasons for Differences of Prices.

14. Please detail any other activities between you and your clients that could affect the actual export price.

15. Please fill out and use the format of Form 3-4 Exports and Sales to China and provide details about each transaction in the process of exporting and selling the product under investigation to China during the investigation period, **including all export transactions in the form of processing trade**. Please follow the requirements and provide corresponding information for each item listed in the form.

Please feel free to make addition to the items listed in the form if the form doesn't include any costs actually incurred in the process of the transactions.

In cases where there were no costs incurred for a particular item listed in the form, please fill 0.



Please provide necessary explanations for the above two cases. Please only fill out the form with costs actually incurred by your company or at your expense. Information about the costs incurred by other companies shall thus be provided by the said other companies.

16. Please provide details if required for each item as numbered in Form 3-4. Please explain how the adjusted amount for each price item in each transaction is determined. Please also provide clear explanations if there are different methods to determine the costs for different transactions, or if there are other factors considered.

**When filling out this form, please provide the costs actually incurred. If the amount you provide is the result of the apportionment of the costs actually incurred among different transactions, please explain the method of such apportionment.**

Item 1 Transaction Serial Number: Please provide the serial number of the transaction.

Item 2 Name of Client: Please provide the name of the client.

Item 3 Code of Client: Please note the code you designate to the client for the purpose of accounting.

Item 4 Any Association or Special Price Arrangement: If the client is one of your affiliates, please note in Form 3-1 List of Clients and

provide details of the affiliate. In case of any special price arrangement, please provide detailed description of the arrangement.

Item 5 Types of Clients: Please clarify the type of sales channels of each type of transactions according to Paragraph 6 of Section 3.

Item 6 "Model Division by the Investigating Authority" and 6-1 to 6-8: Please provide the product model determined according to the product control codes divided by the Investigating Authority, and the code of each description separately. Please refer to "Section 2 Product under Investigation" for specific product codes.

Item 6-9 "Type and Name of Product": Please provide the name and type of your product.

Item 6-10 "Division of Quality or Grade (if any) in the Company's Daily Operation": Please provide the division of quality or grade (if any) in your company's daily operation.

Item 7 Date of Sales Invoice: Please provide the date of sales invoice.

Item 8 Sales Invoice Number: Please explain the coding method you use for the invoices, for example if the invoices are coded in numerical sequence or based on the date of sale. Please also clarify whether the invoice number reflects the differences in clients, products and discounts.

Item 9 Date of Sale: If the transaction happened on a different date from the date of the sales invoice, please explain the method you

establish the date of the transaction.

Item 10 Date of Contract: Please provide the date of execution of the sales agreement.

Item 11 Contract Number: Please provide the contract number.

Item 12 Shipping Date: Please provide the date of shipping.

Item 13 Date of Payment: Please explain the method of establishing the date of payment and the ledger for account payable.

Item 14 Terms of Delivery: Please specify the terms of delivery.

Item 15 Terms of Payment: Please provide the incentive measures for early payment such as discounts and the punitive measures for delayed payments such as imposition of a certain amount of interests. Please also indicate if such measures will be reflected in the invoice.

Item 16 Quantity: Please provide the quantity of each transaction with the measurement used in the actual transaction.

Item 17 Quantity (kl): Please provide the quantity of each transaction in kiloliters.

Item 18 Total Value of Invoice: Please provide the total value of the transaction in the currency actually used.

Item 19 Discount in the Invoice: please provide the monetary amount of the discounts included in the invoice.

Item 20 Net Value of Invoice A: Please provide the net value of the invoice in the currency actually used in the actual transaction.

Item 21 Net Value of Invoice B: Please provide the net value of the invoice in the currency of the country of origin.

Item 22 Exchange Rate: Please provide the exchange rate used for the accounting purpose for the transaction.

Item 23 Product Unit Price: Please provide the product's unit price in the currency of the country of origin.

Item 24 Discount for Early Payment: Please provide the standards and basis for determination of an early payment and the calculation method of the discount.

Item 25 Quantity Discount: Please provide the standards and basis for determination of quantity discount and the calculation method of such discount.

Item 26 Other Discounts: Please provide the discount system you apply to the exports and sales to China. Please list other types of discounts in addition to the abovementioned types of discount and the standards and basis for determination of such discounts as well as the calculation method.

Item 27 Rebate: Please provide the standards and basis for determination of the rebate and the calculation method, for each of the multiple types of rebate if that is the case.

Item 28 Refund and Compensation: Please provide the standards and basis for determination of the refund or compensation and the

calculation method for the amount of the refund or compensation.

Item 29 Inland Freight – Factory to Distribution Warehouse: Please provide the method of transportation for each transaction and clarify if the carrier was one of your affiliates. If multiple types of goods were loaded and transported at the same time, or the transportation was carried out by your company, please provide the calculation method for the freight.

Item 30 Pre-sale Warehousing Costs: Please introduce your warehouse system, including a list of warehouses with their names and addresses provided, and explain if there is association between you and the warehousing service provider. Please also provide the calculation method for the said costs.

Item 31 Inland Freight – Warehouse to Port of Export: Please provide the method of transportation for each transaction and clarify if the carrier is one of your affiliates. If multiple types of goods were loaded and transported at the same time, or the transportation was carried out by your company, please provide the calculation method for the freight.

Item 32 Inland Shipping Document Number: Please provide the inland shipping document number.

Item 33 Inland Insurance: Please explain how the premium is apportioned to each transaction.

Item 34 Ex-factory Handling Fee and Other Related Fees: Please provide the methods to determine and calculate the said fees.

Item 35 International Freight: Please provide the method of transportation and clarify if the carrier is one of your affiliates. If multiple types of goods were loaded and transported at the same time, please provide the calculation method for the freight.

Item 36 International Shipping Document Number: Please provide the number of international shipping documents

Item 37 International Shipping Insurance: Please explain how the premium is apportioned to each transaction.

Item 38 Port Load-Unload Charges and Related Fees: Please provide the method to determine and calculate the said fees in this item.

Item 39 Packaging Costs: Please explain how the packaging costs is calculated and provide a list of calculation methods for costs regarding production raw materials for each type of packaging, human resources and administrative expenses. If packaging is carried out by multiple service providers, please provide the weighted average packaging costs.

Item 40 Credit Costs: Please provide calculation methods for the credit costs. Please also provide the short-term bank loan interest rates within the grace period for delayed payment as well as the supporting documents.

Item 41 Interest Income: Please explain the terms and conditions for interests charged to the clients as a result of the delayed payments. If the interests charged are different based on distribution channels or types of clients, please explain how you charged it in practice.

Item 42 Guarantee Costs: Please explain how you determine and calculate the guarantee costs. Please also explain the nature and conditions of the guarantee you provide for the clients. Please also provide a copy of standard agreement for each type of guarantees.

Item 43 Post-sale Service Fees: Please explain how you determine and calculate the post-sale service fees. Please also introduce the technical service or other post-sale services you provide, such as maintenance and consultancy.

Item 44 Post-sale Warehousing Costs: please explain how you determine the said costs and provide a list of clients of post-sale warehousing as well as the names and locations of the warehouses. Besides, please clarify if the warehouses were operated by one of your affiliates.

Item 45 Advertisement Costs: Please explain how you determine and calculate the said costs.

Item 46 Commission: Please explain how you establish the amount of the commission for the transaction, and whether the amount of commissions varies among different sales agents. Please clarify if the

sales agents are associated with your company.

Item 47 Currency Conversion: Please explain how you determine the costs related to currency conversion.

Item 48 Export Inspection Fee: Please explain how the fees are determined in this item.

Item 49 Customs Agency Fees: Please explain how the said fees are determined.

Item 50 Export Rebates: In the case of export tax reduction, tax waiver or rebates, please provide detailed information about the taxes or tariffs imposed on, or returned to, or to be returned to the product under investigation. Please provide the domestic law which constitutes as the basis for the export rebates you are granted with, including both the original and the Chinese translation. Please explain how you allocate the rebates to each transaction.

Item 51 Transit from the Bonded Area: Please introduce the transactions of re-export of the product under investigation to other countries, including direct re-exports to other countries after you, or your affiliates in China, or other non-affiliated companies in China imported the goods into the bonded area.

Item 51-2 Processing Trade: Please introduce the transactions of the product under investigation in the form of processing trade, including imports for the purpose of the processing trade by your company, or



your affiliates in China, or other non-affiliated companies in China.

Item 52 Other Adjustments: Please elaborate how you establish the specific quantity and amount of these cost items.

Item 53 Total Value of the Adjusted Prices: Please provide the total monetary value of all the items subject to adjustment.

Item 54 Unit Product Price Adjustment: Please use the total kl to divide the total value of the adjusted prices.

Item 55 Price at Ex-factory Level: please provide the unit product price at the ex-factory level.

Item 56 CIF Price: Please provide the total value of the CIF price of the transaction, i.e. the price declared at the Chinese customs.

Item 57 Suppliers: For this particular transaction, if you purchased from other companies before exporting to China, please provide information about the supplier of the goods for the said transaction.

17. If you didn't trade with CIF price, please provide all the costs incurred during the actual transaction from ex-factory price to the actual transaction price.

Please feel free to add any items that Form 3-4 and Form 3-5 failed to include, such as import tariffs, import customs declaration fees, and freight within the borders of China, and provide the actual amount for

each of the said added items.

18. In the case provided in Paragraph 17, please provide an estimate of a CIF price based on the best information available and fill out the corresponding items in Form 3-4 and Form 3-5. Costs not incurred can be estimated, and extra costs already incurred can be deducted. Please elaborate the rationale and the method for the estimation.

19. Please provide the supporting documents (copies acceptable, while originals made ready for verification) for 6 transactions during the investigation period, i.e. the first transaction, the biggest transaction for each quarter and the last transaction, including but not limited to: orders placed by Chinese importers, written documents that record your acceptance of the orders, sales contracts, commercial invoices, proof of various types of discounts and rebates, shipping documents such as packing lists and bill of lading, export customs claim documents, inland shipment contracts, supporting documents of marine insurance, shipping invoices, supporting documents for transportation from your company's workshops to the Chinese clients, Letter of Credit, invoices or documents related to payments, supporting documents of payments from banks, and supporting documents of other payments. The documents you provide should be able to substantiate the data and the claim you

provide and made in Form 3-4 Exports to China. All the said documents you provide must be accurate. Records of sales to each client during the investigation period must be well kept and made available for future verification. Please explain with solid reasons if you cannot provide the said documents.

**Please ensure sales supporting documents for all transactions are filed in sequence in an orderly manner. Supporting documents for each transaction should also be filed in sequence in an orderly manner with a checklist attached.**

20. Please provide supporting documents for any other additional costs incurred in the process of exporting and selling so as to ensure each claim you made is substantiated.

21. If, during the investigation period, you sold the product under investigation to any affiliated trading company, please forward the Questionnaire to the affiliate and ask them to reply to the questions applicable to them according to the requirements specified in Paragraph 3 and Paragraph 4 of this section. Meanwhile, please notify the Person in Charge of the addresses and Point of Contact of the affiliate. Should multiple affiliates be involved, please include all

affiliates prior to the first resale to an independent buyer.

22. In case of the situation provided in Paragraph 21, if the affiliate is domiciled in China, please skip Section 4. When replying to Section 3 of the Questionnaire, please use the format of Form 3-2 Resale Clients of Affiliates in China and Form 3-5 Resale of Affiliates in China and follow the requirements when providing information about resale transactions with non-affiliated clients.

When filling out Form 3-5 Resale of Affiliated in China, please elaborate under each item according the requirements specified in Paragraph 16. When filling out the items in Form 3-4 Exports and Sales to China, please elaborate and follow the requirements as following.

Item 29 China Inland Freight – Port to Warehouse: Please provide the method of transportation for each transaction and clarify if the carrier is one of your affiliates. If multiple types of goods were loaded and transported at the same time, or the transportation was carried out by your company, please provide the calculation method for the freight.

Item 30 Pre-sale Warehousing Costs: Please introduce your

warehouse system, including a list of warehouses with their names and addresses provided, and if the service was provided by another warehousing company, please explain if there is association between you and the company. Please also provide the calculation method for the said costs.

Item 31 China Inland Freight – Warehouse to Non-affiliated Client: Please provide the method of transportation for each transaction and clarify if the carrier is one of your affiliates. If multiple types of goods were loaded and transported at the same time, or the transportation was carried out by your company, please provide the calculation method for the freight.

Item 33 China Inland Insurance: Please explain how the premium is allocated to each transaction.

Item 34 Other Shipping Expenditure in China: Please explain if you have other shipping expenditures, and if the carrier is one of your affiliates. If multiple types of goods were loaded and transported at the same time, please provide the calculation method for the freight.

Item 35 Ex-factory Handling Fee and Other Related Fees: Please provide the methods to determine and calculate the said fees.

Item 36 "Import Customs Duties": Please provide the method to determine the said fees in this item.

Item 37 Import Customs Declaration Fees: Please elaborate how you

establish the said fees.

Item 38 Re-packaging Costs Incurred in China: Please explain how the said packaging costs is calculated and provide a list of calculation methods for costs regarding production raw materials for all packaging, human resources and administrative expenses. If packaging is carried out by multiple service providers, please provide the weighted average packaging costs.

Item 39 Deep Processing: Please elaborate the process of deep processing or assembling of your product in China, and the costs as well as the method of calculation of the said costs.

Item 49 Other Adjustments: Please explain how you establish the exact amount of these cost items.

## Section 4 Domestic sales and sales to third countries (regions)

Producers or traders who sell the like or similar product of the product under investigation to the domestic market of the exporting country (region) and third countries (regions) are required to reply to this section. Please provide all detailed information available about the like or similar product that you sold to the domestic market of the exporting country (region) and third countries (regions) during the investigation period.

1. Usually the date shown on the invoice shall be deemed as the date when a certain transaction happened. Please clarify and explain if you have to use other dates as the date of a transaction.
2. If you are only a producer who sells the like or similar products in the domestic market through a trader (or an affiliated trader), please forward the copies of the Questionnaire immediately to the relevant trader and work together with them to reply to the Questionnaire.

The producer and the trader should only fill out the parts that fit their role, for example costs of production should be provided by the producer while the domestic sales by the trader.

Please notify the investigation authorities about the addresses and points of contact of the relevant producer or the trader.

3. Please fill out and use the format of Form 4-1 Client of Domestic Sale and provide information about all the clients of your domestic sale, and number them in the descending/ascending order of the monetary amount of the transactions.

4. The investigation authority usually compares the export price and the normal value at the same level of trade, normally at the ex-factory level. Therefore, please describe the sale and distribution channels of your domestic sale and provide a flow chart of the entire process of the sale, including the flow of the goods, parties involved during the flow of the goods and the roles they played, and the owners in the flow of the goods. The process of the sale shall span from shipping from the barley fields until the goods reached the first independent buyer. Please also provide conditions and terms of the sale and the pricing for each level of trade. If there are different sale channels for



different clients or multiple channels for one client, please provide a list of all different channels if necessary.

5. If you decided to adjust the normal value to the ex-factory level, please provide descriptions on the factors that you take into consideration and explain why you make such adjustments.

6. Please introduce your pricing policy for the domestic sale. Please provide copies of all the price catalogues applicable to the domestic transactions during the investigation period and the price catalogues currently applicable, including the catalogues applicable to different clients and affiliates.

7. Please clarify if your company makes production plans according to the orders, or after the sales agreement is signed, or based on your company's usual production plan.

8. Please fill out and use the format of Form 4-2 Domestic Sale and provide details of each transaction of the domestic sale of the like or similar products, including all the types of the like or similar products, but not limited to the types of exports to China. Please follow the requirements and provide corresponding information for each item

listed in the form.

Please feel free to make addition to the items listed in the form if the form doesn't include any costs actually incurred in the process of the transactions. In cases where there were no costs incurred for a particular item listed in the form, please fill 0.

Please clarify in writing if the above two cases happen.

9. Please provide details if required for each item as numbered in Form 4-2. Please explain how the adjusted amount for each price item in each transaction is determined. Please also provide clear explanations if there are different methods to determine the costs for different transactions, or if there are other factors considered.

When filling out this form, please provide the costs actually incurred. If the amount you provide is the result of the apportionment of the costs actually incurred among different transactions, please explain the method of such apportionment.

Item 1 Transaction Serial Number: Please provide the serial number of the transaction.

Item 2 Name of Client: Please provide the name of the client.

Item 3 Code of Client: Please note the code you designate to the client for the purpose of accounting.

Item 4 Any Association or Special Price Arrangement: If the client is one of your affiliates, please note in Form 8 Client of Domestic Sale List of Clients and provide details of the affiliate. In case of any special price arrangement, please provide detailed description of the arrangement.

Item 5 Types of Clients: Please clarify the type of sale channels of each type of transactions according to Paragraph 3 of Section 4.

Item 6 "Model Division by the Investigating Authority" and 6-1 to 6-8: Please provide the product model determined according to the product control codes divided by the Investigating Authority, and the code of each description separately. Please refer to "Section 2 Product under Investigation" for specific product codes.

Item 6-9 "Type and Name of Product": Please provide the name and type of your product.

Item 6-10 "Division of Quality or Grade (if any) in the Company's Daily Operation": Please provide the division of quality or grade (if any) in your company's daily operation.

Item 7 Date of Sales Invoice: Please provide the date of sales invoice.

Item 8 Sales Invoice Number: Please explain the coding method you use for the invoices, for example if the invoices are coded in numerical

sequence or based on the date of sale. Please also clarify whether the invoice number reflects the differences in clients, products and discounts.

Item 9 Date of Sale: If the transaction happened on a different date from the date of the sales invoice, please explain the method you establish the date of the transaction.

Item 10 Date of Contract: Please provide the date of execution of the sales agreement.

Item 11 Contract Number: Please provide the contract number.

Item 12 Shipping Date: Please provide the date of shipping.

Item 13 Date of Payment: Please explain the method of establishing the date of payment and the ledger for account payable.

Item 14 Terms of Delivery: Please specify the terms of delivery.

Item 15 Terms of Payment: Please provide the incentive measures for early payment such as discounts and the punitive measures for delayed payments such as imposition of a certain amount of interests. Please also indicate if such measures will be reflected in the invoice.

Item 16 Quantity: Please provide the quantity of each transaction with the measurement used in the actual transaction.

Item 17 Quantity (kl): Please provide the quantity of each transaction in kiloliters.

Item 18 Total Value of Invoice: Please provide the total value of the

transaction in the currency actually used.

Item 19 Discount in the Invoice: please provide the monetary amount of the discounts included in the invoice.

Item 20 Net Value of Invoice: Please provide the net value of the invoice in the currency of your own country.

Item 21 Product Unit Price: Please provide the product's unit price in the currency of your own country.

Item 22 Discount for Early Payment: Please provide the standards and basis for determination of an early payment and the calculation method of the discount.

Item 23 Quantity Discount: Please provide the quantity discount and the corresponding monetary value for each transaction.

Item 24 Other Discounts: Please provide the discount system you apply to the domestic sale. Please list other types of discounts in addition to the abovementioned types of discount and the standards and basis for determination of such discounts as well as the calculation method.

Item 25 Rebate: Please provide the standards and basis for determination of the rebate and the calculation method, for each of the multiple types of rebate if that is the case.

Item 26 Refund and Compensation: Please provide the standards and basis for determination of the refund or compensation and the

calculation method for the amount of the refund or compensation.

Item 27 Adjustment of Physical Characteristics: Please elaborate on the differences in physical characteristics between the same or similar products you sell in the domestic market and the product under investigation you export to China. If differences that exist in physical characteristics of the same type of products affect the costs and price, please clarify what the impacts are from such differences, and introduce how you make the adjustment under this item.

Item 28 Adjustment of Level of Trade: Please explain the differences between your domestic sale and exports to China in terms of the level of trade and the impact on pricing as a result of such differences. Please also explain how you make adjustment to this item. Please do not duplicate adjustments of level of trade that have already made under other items.

Item 29 Inland Freight – Factory to Distribution Warehouse: Please provide the method of transportation for each transaction and clarify if the carrier was one of your affiliates. If multiple types of goods were loaded and transported at the same time, or the transportation was carried out by your company, please provide the calculation method for the freight.

Item 30 Pre-sale Warehousing Costs: Please introduce your warehouse system, including a list of warehouses with their names

and addresses provided, and explain if there is association between you and the warehousing service provider. Please also provide the calculation method for the said costs.

Item 31 Inland Freight – Factory/Warehouse to Client: Please provide the method of transportation for each transaction and clarify if the carrier was one of your affiliates. If multiple types of goods were loaded and transported at the same time, or the transportation was carried out by your company, please provide the calculation method for the freight.

Item 32 Inland Shipping Document Number: Please provide the inland shipping document number.

Item 33 Inland Insurance: Please explain how the premium is apportioned to each transaction.

Item 34 Ex-factory Handling Fee and Other Related Fees: Please provide the methods to determine and calculate the said fees.

Item 35 Packaging Costs: Please explain how the packaging costs is calculated and provide a list of calculation methods for costs regarding production raw materials for each type of packaging, human resources and administrative expenses. If packaging is carried out by multiple service providers, please provide the weighted average packaging costs.

Item 36 Credit Costs: Please provide calculation methods for the credit

costs. Please also provide the short-term bank loan interest rates within the grace period for delayed payment as well as the supporting documents.

Item 37 Interest Income: Please explain the terms and conditions for interests charged to the clients as a result of the delayed payments. If the interests charged are different based on distribution channels or types of clients, please explain how you charged it in practice.

Item 38 Guarantee Costs: Please explain how you determine and calculate the guarantee costs. Please also explain the nature and conditions of the guarantee you provided for the clients. Please also provide a copy of standard agreement for each type of guarantees

Item 39 Post-sale Service Fees: Please explain how you determine and calculate the post-sale service fees. Please also introduce the technical service or other post-sale services you provide, such as maintenance and consultancy.

Item 40 Post-sale Warehousing Costs: please explain how you determine the said costs and provide a list of clients of post-sale warehousing as well as the names and locations of the warehouses. Besides, please clarify if the warehouses were operated by one of your affiliates.

Item 41 Advertisement Costs: Please explain how you determine and calculate the said costs.



Item 42 Commission: Please explain how you establish the amount of the commission for the transaction, and whether the amount of commissions varies among different sales agents. Please clarify if the sales agents are associated with your company.

Item 43 Other Adjustments: Please elaborate how you establish the specific quantity and amount of these cost items.

Item 44 Total Value of the Adjusted Prices: Please provide the total monetary value of all the items subject to adjustment.

Item 45 Unit Product Price Adjustment: Please use the total kl to divide the total value of the adjusted prices.

Item 46 Price at Ex-factory Level: please provide the unit product price at the ex-factory level.

Item 47 Monthly Average Unit Costs: For a particular transaction, if you are the producer, please fill out the item “Unit Product Costs and Related Fees” in Form 6-3 of the corresponding month, i.e. the month when each transaction took place. If you bought from other companies, please provide costs of external purchase.

Item 48 Comparison: Please provide the difference between Item 21 Product Unit Price and Item 47 Monthly Average Unit Costs.

Item 49 Weighted Average Costs during the Investigation Period: For a particular transaction, please provide the weighted average costs in Form 6-3 if you are the producer.

Item 50 Comparison: Please provide the difference between Item 21 Product Unit Price and Item 49 Weighted Average Costs during the Investigation Period.

Item 51 Suppliers: For this particular transaction, if you purchased from other companies before selling to the domestic market, please provide the supplier of the goods for the said transaction.

10. Please provide the supporting documents (copies acceptable, while originals made ready for verification) for 6 transactions during the investigation period, i.e. the first transaction, the biggest transaction for each quarter and the last transaction, including but not limited to: orders, written documents that record your acceptance of the orders, sales contracts, commercial invoices, proof of various types of discounts and rebates, shipping documents such as bills of lading, shipping agreements, shipping invoices, insurance policies, Letter of Credit, invoices or documents related to payments, supporting documents of payments from banks, and supporting documents of other payments. All the said documents you provide must be accurate, which shall be able to substantiate the data and the claim you provide and made in Form 4-2 Domestic Sale. Records of sales to each client during the investigation period must be well kept and made available for future verification. Please explain with solid

reasons if you cannot provide the said documents.

Please ensure sales supporting documents for all transactions are filed in sequence in an orderly manner. **Supporting documents for each transaction should also be filed in sequence in an orderly manner with a checklist attached.**

11. Please provide supporting documents for any other additional costs incurred in the process of domestic sale so as to ensure each claim you made is substantiated.

12. If you believe there are other factors that affect the comparability between the prices of your domestic sale and the exporting sale, please provide all materials available including the calculation methods and adjustments, and all the supporting documents.

With regard to adjustment of quantity difference (or quantity discounts), please provide the standards, basis and methods for determination of quantity discounts for the domestic sale. You can claim making the quantity difference adjustment through the following two paths:

- ( 1 ) You adopted the same quantity discount policy to all buyers throughout the investigation period, and the quantity of

domestic sales applicable to the same quantity discounts took more than 20% of total domestic sales.

( 2 ) The discounts reflected different amount of costs saved due to different quantity produced.

If you choose to provide evidence for Path 1, please fill out Form 4-3.

No matter which path you choose to prove, you should provide required evident to substantiate Path (1) and Path (2).

13. For the purpose of price comparison, if your domestic sales involve resale through affiliates, please specify the transactions in which your affiliates resell the product under investigation to independent buyers following the requirements in questions 8, 9 10 and 11 and the format of Form 4-2. Please explain with solid reasons if you cannot provide the said documents.

14. Please specify your export and sales of the like product to the top three countries (or regions) other than China during the anti-dumping investigation period following the format of Form 3-4. Such information may serve as the basis for determining normal value. Please specify any variances that may affect comparison with export sales to China, including but not limited to sales channels, trade

processes, modes of trade, pricing strategies, and terms of payment.

## Section 5 Operational and Financial Information

Producers and traders of the product under investigation and its like product are required to reply to this section. Please provide all information related to your operations and finance. The aim of the Trade Remedy and Investigation Bureau's request for your provision of information pertaining to this section is to conduct an industry injury investigation in this case.

In order to make a fair comparison of prices and costs during the injury investigation, the Trade Remedy and Investigation Bureau of the Ministry of Commerce classifies the product under investigation and its similar product into different groups in accordance with "control codes for products under injury investigation" (as shown in the table below).

Description	Encoding Length	Encoding	Notes
Category	Indicated with 1 letter	A	A refers to wines in a narrow sense, excluding special wines.
		B	B refers to special wines, including liqueur wines, icewines, botrytised wines, flor or film wines, aromatized wines, low alcohol wines, dealcoholized wines and wild grape wines, etc., excluding wines other than those specified in HS code 22042100.
Color	Indicated with 1 digit	1	1 represents red wines, which are purplish red, deep red, ruby red, red with slight brown or red brown.
		2	2 represents white wines, which are nearly colourless, pale yellow with slight green, light yellow, straw yellow or golden yellow.

		3	3 represents rose wines, which are pink, light rose-red or light red.
		0	0 represents the circumstance that special wines cannot be classified.
Sugar level	Indicated with 1 digit	1	1 represents dry wines. The total sugar (in terms of wine sauce) does not exceed 4g/L. When the difference of saccharic acid (in tartaric acid) is not greater than 2g/L, the sugar level does not exceed 9 g/L.
		2	2 represents semi-dry wines. The total sugar (in terms of wine sauce) exceeds 4g/L, but not exceed 12 g/L. When the difference of saccharic acid (in terms of tartaric acid) is not greater than 2g/L, the sugar level does not exceed 18 g/L.
		3	3 represents semi-sweet wines. The total sugar (in terms of wine sauce) exceeds 12g/L, but not exceed 45 g/L.
		4	4 represents sweet wines. The total sugar (in terms of glucose) exceeds 45 g/L.
		0	0 represents the circumstance that special wines cannot be classified.
Specification	Indicated with 1 digit	1	1 represents 750ml bottled wine.
		2	2 represents 375ml bottled wine.
		0	0 represents other wines in containers holding 2 litres or less.

"Control codes for products under injury investigation" will be used to compare the price of the product under investigation with that of the domestic similar product in China. When answering all questions concerning the classification of the products under injury investigation, you shall provide relevant information following the aforesaid "control codes for products under injury investigation" in addition to your product models and codes. Please use the aforesaid "control codes for products under injury investigation" accurately and

consistently in the questionnaire for injury investigation (including electronic data carriers). Please note that "control codes for products under injury investigation" shall not contain any space, comma or other delimiters.

1. Please provide the product output and product stock in your country (region) of your product under investigation and its like product following the format of "Form 5-1: Output and Stock of the Product under investigation and Its like product", alongside relevant evidence.

2. Please provide the export volume, export value and average export price to China of your product under investigation following the format of "Form 5-2: Exports to China" (please complete the Form with regards to the classification standard of the Investigating Authority and "control codes for products under injury investigation", wherein the CIF price shall be effectively considered as the average export price. If your company has classified the wines according to their quality, consumption level or brand level in daily operation and management, please provide following your company's classification.) 。



3. If your sales to China during the course of the injury investigation period were conducted through an affiliated party, please specify such affiliations and provide your sale price and sale volume following the format of "Form 5-3(a): Exports to China of the Product under investigation Through Affiliated and Non-Affiliated Importers"; additionally, please provide data on the resale price of the Chinese importers to non-affiliated independent clients, and sales/stock data of the affiliated Chinese importers following the format of "Form 5-3(b): Resale Price to Non-Affiliated Independent Clients and Sales/Stock of Affiliated Importers". (Please complete the Form with regards to the classification standard of the Investigating Authority and "control codes for products under injury investigation", wherein the CIF price shall be effectively considered as the average export price. If your company has classified the wines according to their quality, consumption level or brand level in daily operation and management, please provide following your company's classification.)

4. Please specify your export of the like product to countries (regions) other than China during the injury investigation period following the format of "Form 5-4: Company's Export of the Like Product to Third Countries (Regions)", and specify any potential changes to export.

5. Do you have any plans to expand or reduce the production capacity and output of the product under investigation and its like product? If true, please give a description of such plans, including detailed information on scheduling arrangements and changes to capacity and output.

6. Has any operational or organizational adjustment occurred during the injury investigation period that has led to changes in the production capacity of the product under investigation and its like product, e.g. establishment of new plants, expansion, acquisition, merger and lock-out, etc. If true, provide the time, cause and conditions of the aforementioned changes and changes to the production capacity.

7. Please specify any possible effects of your investment plan within the next three years on the output, sales volume, cost and pricing of the product under investigation and its like product.

8. Have any other products been produced with the production equipment used for production of the product under investigation during the injury investigation period? If true, please provide data on the production capacity distribution of products (including the

product under investigation) following the format of "Form 5-5: Production Capacity Distribution of Products (Product under Investigation)".

9. Have you produced or exported any products other than the product under investigation during the injury investigation period? If true, please provide relevant indexes (including revenue, cost and profit of sale) of the product under investigation as a percentage of your total product indexes following the format of "Form 5-6: Production and Export of Other Products".

10. Can the like product be produced with the production equipment currently used by you for producing other products? If true, does the possibility and conditions exist for the product under investigation to be produced with said equipment within a foreseeable time period, and what are production capacity and output estimates? Specify via tabular statement if needed.

11. Please specify your operational conditions for the product following the format of "Form 5-7: Operational Conditions for the Company's Product Under Investigation and Its Like Product".

12. If you are a trading company (non-producer), please specify names, purchase quantities and prices of (ten) main suppliers relating to your annual export of the product under investigation originating in the country involved.

## Section 6    Production Costs and Related Expenses

Producers and traders of the product under investigation are required to reply to this section. Please provide detailed data on costs and expenses involved in the production and sale of the product under investigation and its like product, including production costs, sales expenses, overhead expenses, financial expenses and profit status.

The aim of the Trade Remedy and Investigation Bureau's request for your provision of information pertaining to this section is to:

1. Ascertain whether you have engaged in low-cost selling within domestic (regional) sale processes.
2. The Trade Remedy and Investigation Bureau may, through price construction based upon information provided in this section, seek to determine the normal value of the product under investigation.

Please read the requirements of the questionnaire carefully and answer each question in a complete and accurate manner; for any questions that have not been answered in accordance with requirements, or where complete and accurate materials have not been provided as required, the Trade Remedy and Investigation Bureau may, in accordance with the Anti-Dumping Regulation of the People's Republic of China, produce rulings based on obtained facts and best information available.

## I. Accounting System

1. Please provide details regarding your company's internal accounting system, with information including but not limited to:

(1) Accounting Years;

(2) Clearing Method: specify whether your accounting is manual or computerized. In the latter case, please specify the name of the computerized system and the time of first usage, and briefly describe the system's components;

(3) Accounting Cycle Process: please explain the procedures of your accounting cycle from original document collection to financial statement production;

(4) Is your accounting system consistent with generally accepted accounting principles in your country (region)? If false, please provide reasoning.

2. Please provide audited annual financial reports or interim reports and corresponding translated copies in Chinese for the past 3 consecutive years, including the investigation period. Said reports

must include balance sheets, income statements and cash flow statements in addition to **all statement notes and audit opinions**. If your financial reports have not been audited, please provide reasoning alongside financial reports that have been submitted to your host country (region)'s commercial or tax authorities.

3. Please provide your chart of accounts and code Figure (filled to end) and specify the specific names of the adjustment items and cost items that you proposed in the questionnaire via footnotes following the corresponding accounting titles and codes.

4. Please provide the monthly internal income statement of the product under investigation's host department or profit center during the previous fiscal year and investigation period.

5. Please provide cost control reports and other internal management reports related to cost analysis (e.g. actual cost/standard cost variance analysis reports) of the product under investigation and its like product within the investigation period.

6. Please state in detail your major accounting policies and methods, including but limited to:

(1) Inventory costing methods for raw materials, semi-finished and finished goods;

(2) Allotting methods for inventory falling price reserves;

(3) Determination and depreciation methods for production equipment service life;

(4) Valuation methods and accounting processes for joint products and by-products generated during all phases of production;

(5) Occurrence of exchange gains or losses, and their accounting; please specify the days on which daily exchange rates were applied to your foreign currency transactions, e.g. invoice dates, shipments dates, etc.; please specify whether your applied exchange rates are sourced from official rates; please provide the daily exchange rates (over the course of the investigation period) of both the sales settlement currency used for export sales to China by you, and those of your host country's recording currency, and calculate their average monthly and yearly values;



(6) Capitalization and amortization of expenses, including general and interest expenses;

(7) Allotting methods for bad debt reserves;

(8) Methods by which company R&D expenses are calculated and apportioned to specific products;

(9) Accounting processes for new equipment's being put into operation, idle equipment, lock-out and termination.

7. If the abovementioned major accounting policies and methods adopted by you have been subject to change within the past 3 years, please specify the details of said changes, and indicate the specific times, causes and effects as a result of said changes.

8. Describe in detail your cost accounting system for the product under investigation and its like product, including:

(1) Please describe your cost accounting method for the product under investigation and its like product, including for production cost collection and allocation (e.g. batch costing, process costing,

etc.); please specify whether your cost accounting system is a component of your financial accounting system, and whether it is applied in the preparation of financial statements.

(2) Please describe your standard cost system or cost budgeting system, including but not limited to the following information:

- ① How standard costs are determined;
- ② Reasons for, and the frequency of, revisions made to the above standards. Also, please specify the time of last revision;
- ③ Processing and bookkeeping of variances between standard and actual costs;
- ④ If you have adopted product standard costs while completing this questionnaire, please explain how variances between standard and actual costs are processed.

(3) Please detail the setup of your direct and indirect cost centers, as well as how your indirect cost center costs are allocated to direct cost centers and products;

(4) The method by which your common costs or expenses are apportioned to all production departments, e.g. expense allocation among various production departments, for different products in the same production department, or for the product under investigation/like product vs. joint/by-products;

(5) Accounting processes for defective goods and wastes generated during all phases of production;

(6) Please specify the normal and abnormal loss quantities over the course of your production, as well as their related accounting processes.

(7) Accounting of valuation and sales costs of raw materials, goods in process, and finished goods;

(8) Whether variation exists between the above cost accounting methods and your financial accounting processes; if true, please list such variances and provide reasoning.

9. Please explain the accounting handling processes associated with

discounts and rebates (including quantity discounts) generated over the course of your sales processes, and whether they have been registered as sales expenses or used as deduction for sales revenue.

## **II. Production Process, Production Costs and Related Expenses**

1. Please describe the process of your production of the product under investigation and its like product, including the following aspects (please provide separate statements for any conditional differences that may exist between the product under investigation exported to China, and the like product sold within the domestic (intraregional) market)

(1) Describe your production equipment. If an excessive variety of equipment is involved in the cultivation of the product under investigation and its like product, please list all equipment and describe the production functions of a few major ones.

(2) Explain the production processes of each model of the product under investigation and enclose a complete production flowchart that describes in detail all phases of production.

(3) Please specify whether the equipment used for manufacturing the product under investigation and its like product has been used for the planting of other products. If true, please provide a description of such products.

(4) Please describe the joint products and by-products generated during the production of the product under investigation and its like product.

2. If you are a purchaser of raw materials for the product under investigation and its like product, please provide relevant purchase cost info of the raw materials used for the product under investigation and its like product which were sold during a full investigation period following the format of "Form 6-1-1: Purchasing List of Raw Materials". Specify the serial number, trade name, procurement cost, name of supplier, and supplier affiliation status for each raw material item. Your company should provide an account of the full range of raw materials used over all phases of production from start to end.

3. If you are a producer of raw materials for the product under investigation and its like product, please provide relevant production cost info of the raw materials used for the product under investigation and its like product which were sold during the investigation period following the format of "Form 6-1-2: Production Cost of Raw Materials".

For raw materials purchased from affiliated suppliers, please provide relevant info on the actual production costs, sales expenses, overhead expenses and financial expenses of relevant raw materials produced by each affiliated supplier during the investigation period following the format of "Form 6-3: Product Costs and Related Expenses".

If a kind of raw material is all purchased from affiliated suppliers, but affiliated suppliers sell the raw materials of the product under investigation and its like product to non-affiliated customers in the domestic (intraregional) market during the investigation period, please provide the general info on the sales of such raw materials by affiliated suppliers to all non-affiliated customers, including but not limited to, sales amount, sales volume and average unit price.

4. Please provide relevant info on the production- and sales-related inventory (main raw materials, semi-finished and finished goods) of

the product under investigation and its like product following the format of "Form 6-2: Inventory Receipt and Dispatch Details" and specify the average days of inventory for both domestic (intraregional) sales and to-China export sales products. Said materials may also be provided on a quarterly basis if your cost accounting is not conducted on a monthly basis.

5. Please provide relevant info on the actual production costs, sales expenses, overhead expenses and financial expenses of the product under investigation and its like product during the investigation period following the format of "Form 6-3: Product Costs and Related Expenses". The information provided should include all costs and expenses related to the production and sales of the product under investigation and its like product.

Said materials may also be provided on a quarterly basis if your cost accounting is not conducted on a monthly basis. If the costs and expenses associated with the product under investigation and its like product are different in regard to whether they are sold within the domestic (regional) market, sold to China, or sold to countries (regions) other than China, then please provide separate lists for costs and expenses for the different markets. If your costs and expenses of products of different specifications or models vary, please provide separate lists for costs and expenses associated with the different product specifications or models. The product models shall be subject to the product control codes formulated by the Investigating Authority. Please refer to "Section 2 Product under Investigation" for specific codes.

Please provide a detailed explanation regarding the specific process of your completing the items in Form 6-3, including methods of

calculation and expense allocation, and calculation formulas.

Please provide your company's regularly kept **cost calculation sheets** (based on month or quarter) for the product under investigation and its like product over the course of the investigation; if inconsistencies exist within the cost sheet data versus data reported in Form 6-3, please explain in detail the cause of such differences.

6. Please provide input regarding the production costs of the product under investigation and its like product following the format of "Form 6-4: Production Cost Details of the Product under investigation and Its like product". If there is a difference between the production costs of domestic sales and export sales of the product under investigation and the like product, please list the production costs of domestic (intraregional) sales, export to China and export to third countries (regions) separately per the requirements of this form. 2. If there is a difference in production cost between different models, please report separately by models. The product models shall be subject to the product control codes formulated by the Investigating Authority. Please refer to "Section 2 Product under Investigation" for specific codes.

7. If production costs and related expenses of the product under investigation and its like product differ depending on whether they are sold domestically (regionally), to China, or to other countries (regions), please provide reasoning alongside corresponding documentary evidence.

8. If you have produced just shortly prior for the purposes of producing the product under investigation and its like product, and your product under investigation and its like product currently exhibit non-normal costs as a result of the consequential equipment and funding requirements, the following information should be provided:

(1) Please describe in detail relevant information regarding your new production equipment, e.g.: production process and equipment operation condition, etc.;

(2) List in detail all costs and expenses associated with the acquisition of new equipment;

(3) Detailed relevant information regarding equipment utilization rate during the initial phase of production;

(4) Detailed time when the product under investigation and its like product are officially put into operation;

(5) Detailed performance coverage spanning the initial phase of production, and evidence conclusively marking the end of the initial phase.

9. Provide earnings info for the product under investigation and its like product during the investigation period in accordance with the following requirements. Your provided data should be consistent with data provided in other sections of this questionnaire.

(1) Please provide info on the revenue, costs and expenses, and profits associated with the production and sale of the product under investigation and its like product following the format of “Form 6-5: Earning Performance”.

(2) Please describe in detail the calculative processes and specific allocation method applied in regard to all expense-related items classified under sales, overhead, financial, and other expenses in Form 6-5 following the format and requirements of “Form 6-6: Overhead Expense Allocation Details” “Form 6-7: Sales Expense Allocation Details” and “Form 6-8: Financial and Other Expense Allocation Details”.



If your expense allocation method varies based on specific expense items, please explain the reasoning for such. If your expense allocation is not conducted based on proportion of sales revenue, please explain the reasoning for such in detail, and provide documentary evidence (including but not limited to board minutes, internal administrative documents, and financial accounting records) for said allocation method.

(3) While providing the above data, if your allocation of sales expenses, overhead expenses, financial expenses and other costs is inconsistent with the information reflected in your income statement, please give a detailed indication and description of the cause of such inconsistencies.

(4) If, according to the above Form, the sum of your production costs, sales expenses, overhead expenses and financial expenses for selling its like product in the domestic (intraregional) market or selling its like product in countries (regions) other than China exceeds net sale figures, please provide reasoning for your decision to sell at loss.

(5) If the abovementioned sales losses can be recouped according to your estimates, please provide your timeframe and reasoning for said estimated recoup of losses.

## Section 7 Industry and Market Status

Please note: Questions in this section serve to complement the Investigating Authority's understanding of whether special market circumstances are present for grape cultivation, and wine production and sales within Australia's domestic market. Cultivators, producers, traders, relevant industry associations and other relevant stakeholders are encouraged to carefully complete the questions in this section and submit evidentiary material as needed, as answers in this section will affect the Investigating Authority's dumping determination process. Cultivators, producers and traders referred to in this section include companies, individuals and other entities, are collectively referred to as companies.

### **1. Relationship Between Company Structure/Operations and the Government**

1.1 Please give a summary description of the relationship between your operations and all levels of government, including but not limited to: submission of reports, taxation, purchasing products or services from state-owned enterprises, investment and price decisions, various types of administrative licensing, land use and management, infrastructure, irrigation, loans, financing or other means of financial support, pest management, response and relief to drought or other natural disasters, environmental conservation, breeding, food safety, technical consultation, export, industry standards, industry development, and productivity improvement.

1.2 Please specify whether the government or state-owned enterprises have participated in the operation and management of your company over the past 10 years.

1.3 Please specify whether relationships exist between: members of your boards of directors, shareholders, and supervisors, management and operations staff, stockholders and employees of your company and all affiliate companies, and all levels of government, political parties or other political groups. If true, please specify their positions and functions within the government, parties or other political groups.

1.4 Please describe your methods and procedures for operations and management, appointing senior management, and price decisions, and provide minutes of a board of directors resolution, a shareholder meeting resolution, and a sales price determination meeting.

1.5 Have you participated in bodies including industry associations and chambers of commerce? Please describe their influences on your cultivation, production and sales activities.

1.6 Please provide your company's registration certificate or business license. Specify the names, application and issuance procedures, and mode of regulation of the government agency or administrative organization responsible for issuing said certificate or license, alongside conditions under which the government would withdraw said certificate or license and impose corresponding penalty.

1.7 Please list all relevant reports required to be submitted to your government and administrative organization. Describe in detail the reasoning and procedures for submitting these reports, alongside the names of bodies said reports were submitted to, and the consequences of failure to submit said reports.

## **2. Government Organizations and Laws Involved in Grape Cultivation, and Wine Production and Sales**

2.1 Please provide the names, addresses and contact information of Australia's federal, state/territory and sub-state/sub-territory

government departments (including legislative and administrative departments, or other relevant public institutions or government-controlled departments) that are responsible for the regulation of agriculture, grape growing, wine production, sales and export, and describe their specific functions and roles. Forms of said regulation can include but are not limited to: formulation, implementation, supervision and evaluation of industry-related laws, regulations, statutes, policy documents, plans, guidelines and reports; resource allocation; regulation of public goods and services, and prices; trade regulation; market access and withdrawal; merger reviews; and financial support.

**2.2** Please describe the relevant regulatory actions implemented within agriculture, grape cultivation and wine industry by the abovementioned departments or institutions for the purpose of performing their functions.

**2.3** Please describe the scenario in which producers and exporters of grape cultivation and wine industries are subject to regulation during the course of their business activities including investment, procurement, production and sales, and describe the specific means by which regulatory actions are undertaken.

**2.4** The Applicant claimed that Wine Australia is the research and development, marketing and regulatory body for the Australian wine industry. It was established under the Wine Australia Act 2013. Its main functions are to coordinate or fund the research and development of grapes and wines, control exports of Australian wines, and promote the sales and consumption of Australian and overseas wines. Please answer on the claim: Please provide the basis for the establishment of Wine Australia, functions, policy objectives, superior administrative units, organization structuring, industrial planning and content, and sources of funds. Please specify the role of the organization in grape and wine R&D; its role in the export of Australian

wine and in the sales and consumption of wine in Australia and abroad; and the types, contents and charges of export data, market intelligence, import and export data and other general information provided by the organization for Australian Wine Industry. Please specify the industry or regional bodies and associations established or administered by Wine Australia, including but not limited to names, functions, appointment method of the person in charge and management, sources of working capitals, area of responsibility, and wine-related member units within the function scope.

2.5 The Applicant claimed that Australian Department of Agriculture, Water and the Environment, works with Australian winemakers and grape growers, industry representatives, other government departments and international organisations offer suggestions on such affairs related to the Australian wine industry as the access to the international market, tax revenue and industrial structure to the Australian Government. Please answer on the claim with regard to the functions of relevant departments, and their recommendations on international market access, taxation and industrial structure.

2.6 The Applicant claimed that Australian Trade and Investment Commission (Austrade) is responsible for providing practical advice or assistance for the Australian wine industry with respect to wine exports. Please answer on the claim with regard to the functions of relevant departments and their recommendations on wine exports.

2.7 The Applicant claimed that Australian Taxation Office (ATO) is the Australian Government's tax collection and administration agency. It offers WET tax exemption to wine producers.

Please answer on the claim: the functions of the organization, its role in wine taxation and tax benefits for wine; contents, objects, standards and preferential policies of WET, (copies and translation of) policies and laws related to WET, preferential amount, list of beneficiary enterprises and amount benefited provided under this program in the

past five years, including the list of enterprises involved in this case and the amount benefited.

2.8 The Applicant claimed that Australian Bureau of Agricultural and Resource Economics and Sciences (ABARES) is the science and economics research division of the Australian Department of Agriculture, Water and the Environment, and that ABARES supports the Australian wine industry by releasing lots of useful information and analysis on the Australian wine industry. Please answer on the claim with regard to the functions of relevant departments, information and analysis on wine production and sales provided by them, use of information and relevant reports.

2.9 The Applicant claimed that Relevant state agricultural or industrial sectors are also responsible for the regulation of grape growing and wine production, including: New South Wales Department of Primary Industry, Department of Agriculture and Fisheries, Queensland, Department of Primary Industry and Resources, South Australia, Phylloxera and Grape Industry Board of South Australia, Department of Primary Industries, Parks, Water and Environment, Tasmania, Department of Economic Development, Jobs, Transport and Resources, Victoria, and Department of Agriculture and Food, Western Australia. Please answer on the claim with regard to the functions of relevant departments, contents of supervision and wine-related preferential policies provided by them.

2.10 Please provide the full text, legislative explanation, supporting legal provisions and judicial interpretation of the *Wine Australia Act 2013*. Please specify the legislative intent.

### **3. Information on Grape Cultivation and Wine Production Industries**

#### **3.1 Information on agricultural and grape cultivation industries.**

Please provide the following information on Australia's agricultural and grape cultivation industry situation in the last decade (in tabular

form based on year), alongside data sources:

- (1) Domestic arable land area and cultivated land area for agricultural and grape cultivation industries.
- (2) Domestic working population and share of domestic population in agricultural and grape cultivation industries.
- (3) Total area of plantation and harvest and the proportions of various crops in agricultural and grape cultivation industries.
- (4) Total domestic output, output value, annual forecast and the actual outcome, and global market share of agricultural and grape cultivation industries.
- (5) Domestic agricultural and grape demand, consumption and values, and global shares.
- (6) Proportion of domestic agricultural crop and grape consumption to domestic production.
- (7) Total output and output value of all farm crops, agricultural corps and grapes owned by the country or the government.
- (8) Total output and output value of agricultural corps and grapes owned by state-invested enterprises.
- (9) Total output and output value of privately owned agricultural crops and grapes.
- (10) Number of agricultural and grape-related companies either owned, invested in or managed directly by the Australian government or through other government bodies (including federal, state/territory, and local level government bodies), or owned, invested in or managed by agencies or entities representing the government.
- (11) Total output and production value of the agricultural corps and grapes mentioned in question (10).

### **3.2 Information on wine industry**

- (1) How many domestically cultivated grapes are used for wine production, including the percentages of quantity and amount.

Classification of grape varieties and uses.

(2) The number of people employed in the wine production industry and the ratio against the entire population.

(3) The total domestic output and output value, the annual forecast value and the actual value, and the share taken in the global market.

(4) Domestic demand, consumption, exports, monetary amount, and the share taken in the global market.

(6) The proportion of the domestic consumption to the domestic output.

(7) Number of wine enterprises owned by the state or the government, and the total wine output and output value.

(8) Number of wine enterprises owned by state-invested enterprises, and the total wine output and output value.

(9) Number of privately owned wine enterprises, and the total wine output and output value.

(10) Number of wine companies either owned, invested in or managed directly by the Australian government or through other government bodies (including federal, state/territory, and local level government bodies), or owned, invested in or managed by agencies or entities representing the government, and the total wine output and output value.

**3.3** Information on the product under investigation and its like product industries.

(1) Output, production value, demand, consumption quantity and value, and share of domestic consumption to domestic production of the product under investigation and its like product.

(2) Global share of domestic production and consumption of the product under investigation and its like product.

(3) Global trade volume of the product under investigation and its like product, and Australia's global share of trade volume.

(4) The names, nature (farm, individual or company, etc.) addresses,



websites, output and output value of the top 50 farms or enterprises of the product under investigation and its like product.

#### **4. Industrial planning for agriculture, grape cultivation, wine production and sales**

**4.1** Please provide a list of industry plans for the agricultural, grape cultivation and wine production industries by the Australian federal government alongside copies of such documents, which include but are not limited to plan outlines, rules for implementation, industry guidelines, and appraisal reports. For each document, please explain the cause for developing such document, process, purpose, industry or product scope applicable, geographical area applicable, specific projects and measures, budgets, implementation, and supervision. Please provide a list of government agencies related to each document and briefly describe their roles and responsibilities.

**4.2** Please describe in detail all grants provided to the agricultural, grape cultivation and wine production industries by the Parliament of Australia and parliaments of the states/territories in the last decade and provide a list and copies of all related documents, including but not limited to grant resolutions, grant implementation and appraisal reports passed by the national and state/territory parliaments. For each document, please explain the cause for developing such document, process, purpose, industry or product scope applicable, geographical area applicable, specific projects and measures, budgets, implementation, and supervision. Please provide a list of government agencies related to each grant and a brief description of their roles and responsibilities.

**4.3** Please provide a list, main contents and copies of all laws, regulations, statutes and policy documents applicable to the grape cultivation and wine production and sales industries.

Please describe the formulation background, process, goal, applicable industry or product scope, and applicable geographical scope for each

document. Please provide a list of government agencies related to each document and briefly describe their roles and responsibilities. Please describe the budget, implementation and supervisory status of the specific projects and measures within each document.

4.4 Please specify the industry category of the product under investigation and its like product within Australia's industry and sector classification, as well as the relationship between said industry category and the scopes of application of the aforementioned documents. Please specify the effects of the policy objectives of each of the documents mentioned in 4.1, 4.2 and 4.3 on Australia's agricultural production and operations activities, cultivation costs and Australian agricultural, grape and wine market prices, especially the detailed effects on the resource allocation in the agricultural sector as a result of the aforementioned policy objectives of the Australian government. Please specify the impact on the production and sale of the product under investigation and on you.

4.5 The Australian Government's industrial planning for the wine industry. The Applicant claimed that the Australian Government has a complete planning system for the wine industry. The planning system has made the government's interventions in the wine industry more systematic. The government adopts a set of intervention mechanisms, including quantitative and qualitative mechanisms for development objectives and financial support. Australian Grape and Wine Incorporated (Australian Grape & Wine) and Wine Australia jointly developed the Vision 2050, and planned the long-term development route of Australian wine industry. In line with the Vision 2050, Wine Australia also developed more detailed five-year plans, including the Strategic Plan 2015-2020 and the Strategic Plan 2020-2025. The Five-year Plan set out development plans, strategies and priorities, provided fund allocation scheme, and set up specific quantitative key performance indicators to measure the achievement of development

expectations. Comparison:

(1) Please specify the formulation bodies and procedures for the above plans (including but not limited to *the Vision 2050*, *the Strategic Plan 2015-2020* and *the Strategic Plan 2020-2025*).

(2) Please specify in detail the full text (original copy and translation) and purpose of the above plans formulated by the Australian Government, and provide the contents of industry safety, industry development plan, relevant financial and policy supports, aids and subsidies in the above laws, regulations and guidance documents.

(3) Please provide the amount, the distribution plan and the whereabouts of funds provided by the Australian Government in the above strategic plans.

(4) Please specify the impact of the above plans on the wine industry, the production and sale of the product under investigation and you.

(5) Please specify whether the above plans have promoted the wine industry, and provide the effect evaluation and annual report.

(6) Please specify the impact of the above plans on the wine education and research institutions, whether there is financial support, procedures and whereabouts.

**4.5** Please elaborate on Australia's guiding policies, documents and opinions to ensure the safety and development of the wine industry. Please provide a summary and report of the Australian Government's assessment of the above plans and development strategies.

## **5. Land**

**5.1** Please describe in detail systems of land ownership and usage of grape cultivation and wine production enterprises, alongside variances in cultivation arrangements and costs of private and leased land. Additionally, specify any changes of ownership and lease over the past decade

(1) Please specify the government department or body associated

with the abovementioned land system.

(2) Please account the land management acts implemented by the abovementioned department, as well as the specific measures involved, especially in regard to management of land used for the cultivation of the product under investigation.

(3) Please describe the specific contents related to land management, including all associated processes including application, approval, registration, transaction management, supervision and appraisal.

5.2 Please describe in detail the construction and maintenance situation of farm irrigation facilities, specify whether they are provided by the government or the company in question, and describe how water resource usage is managed.

(1) Please specify the government department or body associated with the abovementioned agricultural irrigation system.

(2) Please account the irrigation management acts implemented by the abovementioned department, as well as the specific measures involved, especially in regard to management of irrigation and water resources used for the cultivation of the product under investigation.

(3) Please describe the specific contents related to irrigation management, including all associated processes including application, approval, registration, transaction management, supervision and appraisal.

5.3 Please provide information regarding your company's land acquisition history over the past 10 years.

5.4 Has the government at any level, or any industry organizations: participated in, or provided support to, any of your operations related to land, infrastructure, irrigation, environmental conservation, drought management, and weed management? If true, please describe in detail said supportive measures, including but not limited to the measures and means involved, names of the supporting

organizations, dates, and the effects of said supportive measures on your production and operations.

## **6. Import and Export of Product under Investigation and Its Like Product**

**6.1** Please list in detail all relevant legislations and provisions regarding federal and local governments' administration of imports and exports of the product under investigation and its like product, the goal of enacting such legislations and provisions, and specific measures that have been adopted for the purpose of achieving said goal.

**6.2** Please provide relevant information, as detailed below, regarding all federal and local government departments associated with the administration of imports and exports of the product under investigation and its like product:

- (1) Names, addresses, contacts and contact information of such departments.
- (2) Basis for establishment (including but not limited to legal, regulation, policy, strategic documentation), time of establishment, goal of establishment, administrative subordination relations, budget, scope of function and work process of such departments.
- (3) Specific measures by which such departments intervene in the import and export of agricultural products, foods, the product under investigation and its like product.
- (4) Work reports of such departments in the last decade.

**6.3** Please provide, as required below, relevant information on all federal and local government associations involved in the administration of imports and exports of the product under investigation and its like product, or similar organizations involved in the imports and exports of such products:

- (1) Names, addresses, contacts and contact information of such organizations.
- (2) Nature, goal, structure, function, source of funding, use of funds,

work content and work process of such organizations.

(3) Specific measures by which such organizations intervene in the import and export of agricultural products, foods, the product under investigation and its like product.

(4) Work reports of such organizations in the last decade.

**6.4** Please provide, as required below, information on imports and exports of Australia's product under investigation and its like product (separately, in tabular form) by year, in the last decade, and state the sources for such information:

(1) Total import and export volume, value and unit price of the product under investigation and its like product; a list of the import/export volume, value and unit price of the top five sources of import and top five sources of export.

(2) Total domestic annual consumption volume and value of the product under investigation and its like product.

#### **6.5 Import and Export Tax Policy**

(1) Please describe in detail the tax categories, tax rates, and methods of taxation applicable to the import and export of the product under investigation and its like product.

(2) Please describe the names, administrative subordination relations, time of establishment, goal of establishment, budget, scope of function and work process of the taxation enforcement agencies for the product under investigation and its like product.

(3) Are applicable import and export tariff rates different for the product under investigation and its like product from different sources? If true, please provide documentary evidence for said stipulation.

(4) Please specify whether the import and export of the product under investigation and its like product is subject to restrictions regarding quantity or value, as well as qualification standards. Please describe the details of such restrictions.

## **6.6 Import and Export License Policy**

- (1) Please describe in detail your import and export licensing system for the product under investigation and its like product.
- (2) Please provide the names, administrative subordination relations, time of establishment, goal of establishment, budget, scope of function and work process (including but not limited to application, approval and certification procedures) of the relevant executive bodies.
- (3) The conditions and criteria for approval and review of granting licenses, and the factors to be considered. Please specify the types of licenses, such as, the qualification license for individual export enterprises and the license for export transactions.
- (4) Please provide the names, business categories, business sizes, and reasoning for license approval or denial of all businesses that have been approved or denied import and export licenses.
- (5) Please provide the copy of your license and application materials.

## **6.7 Export promotion of the product under investigation**

- (1) Please specify whether the Australian government has incentives in place to encourage wine export and describe how said incentive measures affect the Australian domestic wine market.
- (2) Please specify the impact of exports of Australian wine on its domestic and global wine sales, prices and the wine industry in other countries.
- (3) Please describe whether volume- or value-based incentives are in place for the import and export of the product under investigation and its like product. Please describe the details of said incentives.
- (4) Please specify the functions, institutional framework and source of funding of the Australian Trade Commission, and whether you have benefitted from financial support provided by said commission. If so, please describe the relevant details of said financial support and its

effects on your production and operations.

## **7. Prices of the Product under investigation and Its like product**

7.1 Please list in detail the relevant provisions associated with the federal and local governments' administration of prices of the product under investigation and its like product, alongside the goal of formulating said provisions and specific measures adopted for the purpose of achieving said goal.

7.2 Please provide relevant information, as detailed below, regarding all federal and local government departments associated with the price administration of the product under investigation and its like product:

- (1) Names, addresses, contacts and contact information of such departments.
- (2) Basis for establishment (including but not limited to legal, regulation, policy, strategic documentation), time of establishment, goal of establishment, administrative subordination relations, budget, scope of function and work process of such departments.
- (3) Specific measures by which such departments intervene in the price administration of the product under investigation and its like product.
- (4) Work reports of such departments in the last decade.

7.3 Please provide, as required below, relevant information on all federal and local government associations involved in the price administration of the product under investigation and its like product, or similar organizations involved in the trading of such products:

- (1) Names, addresses, contacts and contact information of such organizations.
- (2) Nature, goal, structure, function, source of funding, use of funds,



work content and work process of such organizations.

(3) Specific measures by which such organizations intervene in the price formation of the product under investigation and its like product.

(4) Work reports of such organizations in the last decade

#### **7.4 Market access and trading systems**

(1) Please provide detailed info on the market access system (including but not limited to qualification recognition, government approval procedures and license issuance) for wine production and sales enterprises.

(2) Please provide the names, administrative subordination relations, time of establishment, goal of establishment, budget, scope of function and work process (including but not limited to application, approval and certification procedures) of the relevant access executive bodies.

(3) Please provide the names, business categories, business sizes, and reasoning for license approval or denial of all businesses the applications of which for the qualification of wine production and sales have been approved or denied.

(4) Please provide your application and approval materials for market access.

#### **7.5 Price-Forming Mechanism**

(1) Please describe the price-forming mechanism of the product under investigation and its like product in Australia.

(2) Please provide the names, administrative subordination relations, time of establishment, goal of establishment, budget, scope of function and work process of the relevant bodies involved in price forming.

#### **7.6 Protection Mechanisms for the Product Under Investigation and Its**

### **like product**

(1) Please describe in detail the protection mechanisms for grape cultivation, the product under investigation and its like product in Australia, supportive measures and protection mechanisms from the government during reduced yield and natural disaster conditions, and include relevant provisions.

(2) Please describe the effects of the protection mechanisms for grape cultivation, the product under investigation and its like product on Australia's domestic product under investigation and like product markets and on you.

## **8. Taxation and Financial Support**

The Applicant claimed that in order to develop the wine industry, the Federal Government of Australia and state governments have implemented plenty of supportive measures. Such as, Wine Australia formulated the Export and Regional Wine Support Package and other supportive measures related to the expansion of demands and exports; the Federal Government of Australia impose the WET at a preferential tax rate to maintain the competitive edge of its wines; and the Federal Government of Australia and state governments provide substantial cash subsidies, tax exemption, preferential loans and consulting services for grape growing, farms, chateaus and R&D.

8.1 Have you received government support through tax credits, deductions or similar forms? If true, please describe in detail the basis for such tax preferences, the value of credits or deductions, and associated administrative organizations.

8.2 Have you received any government-provided subsidies, loans or tech support? If true, please provide details.

**8.3** Whether you are supported and subsidized by the Export and Regional Wine Support Package? If true, please provide details.

**8.4** Have you imposed the WET at a preferential tax rate by the

Commonwealth of Australia. If true, please specify the content, time of acquisition and amount.

**8.5** Have you received cash subsidies, tax exemption, preferential loans and consulting services for grape cultivation, farms, chateaus and R&D provided by the Federal Government of Australia and state governments.

## **9. Other Government Policies**

The Applicant claimed that in order to develop the wine industry, the Federal Government of Australia and state governments have implemented plenty of supportive measures. In order to support the wine industry development planning, Wine Australia formulated the Export and Regional Wine Support Package and other supportive measures related to the expansion of demands and exports. Moreover, the Federal Government of Australia impose the WET at a preferential tax rate to maintain the competitive edge of its wines. What's more, the Federal Government of Australia and state governments provide substantial cash subsidies, tax exemption, preferential loans and consulting services for grape growing, farms, chateaus and R&D. These include:

- (1) Wine Equalisation Tax Rebate
- (2) The Export and Regional Wine Support Package
- (3) Regional Program
- (4) Wine Tourism and Cellar Door Grant
- (5) Managing Farm Risk Program
- (6) National Landcare Program
- (7) Accelerating Commercialisation
- (8) Innovation Connections
- (9) Export Market Development Grants
- (10) The Research and Development Tax Incentive
- (11) Business Growth Grants
- (12) Australian Postgraduate Research Intern

- (13) Sustainable Rural Water Use and Infrastructure Program
- (14) Commonwealth On-Farm Further Irrigation Efficiency Program
- (15) 3-year write off on water facilities for primary producers
- (16) Drought Concessional Loans Scheme
- (17) Agriculture Advancing Australia Farm Help
- (18) Exceptional Circumstances Interest Rate Subsidies
- (19) Farm Finance Concession Loans Scheme
- (20) Agriculture Energy Investment Plan
- (21) Regional Jobs Fund
- (22) Food Source Victoria Grants
- (23) Regional Inward Buyer Mission Programme
- (24) Business Energy and Water Program in Australian Capital Territory
- (25) TechVouchers Programme in New South Wales
- (26) Advanced Manufacturing Innovation and Growth Voucher System in Tasmania
- (27) Innovation Vouchers Program in Western Australia
- (28) Export Accelerator Grants in South Australia
- (29) Resource Productivity Assessment in South Australia
- (30) Regional Food Initiatives Program in South Australia
- (31) Supporting Brands of our Key Regions Grant Funding Program in South Australia
- (32) Vineyard and Orchard Expansion Program in Tasmania
- (33) Riverland Sustainable Futures Fund in South Australia
- (34) South Australian River Murray Sustainability Program
- (35) NVIRP 2 On-farm Project
- (36) On Farm Productivity Improvement Grants Victoria
- (37) Agriculture Infrastructure and Jobs Fund- Victoria
- (38) Drought Recovery Concessional Loans Scheme in New South Wales and Queensland
- (39) Supporting More Efficient Irrigation in Tasmania
- (40) Tasmania AgriGrowth Concessional Loan Scheme

9.1 Please respond to the above items (1)- (40): project name, content, authority federal or state level, location, subsidizing objects, amount of funding in the last decade, list of beneficiary enterprises, issuance time and amount.

9.2 To the best of your knowledge, please specify whether there is any support for grape cultivation, and wine production and sales at the Commonwealth of Australia, at or below the state/territory level.

## Section 8 Estimated dumping margin

This section requires you to provide estimated dumping margin based on the answer sheet, method of estimation, and relevant electronic texts and computation sheets.

Please fill in the dumping margin estimate Form by listing separately, based on product model, the following data: export volume, export price, domestic sale volume, adjustment amount and dumping margin of the product under investigation within the investigation period.

## Section 9 Checklist

Please complete this section to ensure that you have completed all questions in the above sections.

Content	Please mark with √ if all required data have been provided	Please mark with √ if data have not been provided or are incomplete
Section 1: Corporate Structure and Operations		
Section 2: The Product Under Investigation		
Section 3: Exports and Sales to the Chinese Market		
Section 4: Domestic Sales and		

Sales to Third Countries (Regions)		
Section 5: Operational and Financial Information		
Section 6: Production Costs and Related Expenses		
Section 7: Industry and Market Status		
Section 8: Estimated Dumping Margin		



## Annexes Forms

Form 1-1 Shareholders

Serial Number	Name of Shareholder	Shareholding Percentage	Shareholder Activities

Form 1-2 Board Members

Serial Number	Name of Board Member	Position	Positions Held in Other Companies

Form 1-3(a) Affiliated Companies

Name, address, telephone and fax number	Please mark with <input type="checkbox"/> if associated with the product under investigation	List of activities among affiliated companies associated with the product under investigation	Please mark with <input type="checkbox"/> if producer of the product under investigation	Please mark with <input type="checkbox"/> if supplier of the product under investigation	Please mark with <input type="checkbox"/> if vendor of the product under investigation	Your company's shareholding percentage in the affiliated company	The Affiliated company's shareholding percentage in your company	Your company and the affiliated company's joint shares owned by others	Joint shares owned by your company and the affiliated company of others

Form 1-3(b) Production in China by Your Company or Affiliated Companies

Name of Company	Country (Region)	Company Address, Telephone and Fax Number	Production Capacity and Actual Production (Unit: kl)	Production Start Date in China

Form 1-4 Business status (in the past four consecutive years and investigation period. Please add columns appropriately)

	2015				...				Investigation Period					
	Affiliated Client		Non-Affiliated Client						Affiliated Client		Non-Affiliated Client		Affiliated and Non-Affiliated Clients	
	Quantity	Amount	Quantity	Quantity					Quantity	Amount	Quantity	Amount	Quantity	Amount
Company Gross Turnover (Including All Products) ①														
Domestic Market														
Exports to China														
Exports to Other Countries (Regions)														
Turnover of the Department Producing the Product under Investigation ②														
Domestic Market														
Exports to China														

Exports to Other Countries (Regions)														
Sales Volume of the Product Under Investigation and Like or Similar Products														
Domestic Market														
Exports to China														
Exports to Other Countries (Regions)														

① If full statistics cannot be provided due to the involvement of multiple units of measurement across a range of products within a company's operations, then quantities may be omitted, but monetary values must still be provided.

② If full statistics cannot be provided due to the involvement of multiple units of measurement across a range of products within a company's operations of the product under investigation, then quantities may be omitted, but monetary values must still be provided. **The quantity unit of the product under investigation or its like or similar product is "kl".**

Form 1-5 Product Production Capacity

	Time	2016	2017	2018	Investigation Period
All Your Products ①	Production Capacity				
	Actual Production				
	Rate of Land Use				
	Sales volumes				
The Department	Production Capacity				
	Actual Production				
	Rate of Land Use				

Producing the Product under Investigation <sup>②</sup>	Sales volumes				
Product Under Investigation and Like or Similar Products	Production Capacity				
	Actual Production				
	Rate of Land Use				
	Sales volumes				

① If full statistics cannot be provided due to the involvement of multiple units of measurement across a range of products produced by a company, data under "All Your Products" may be omitted.

② If full statistics cannot be provided due to the involvement of multiple units of measurement across a range of products produced by the department producing the product under investigation, data under "The Department Producing the Product under Investigation" may be omitted. **The quantity unit of the product under investigation or its like or similar product is "kl"**

Form 1-6 Purchase of Product Under Investigation<sup>①</sup>

Serial Number	Model Division by the Investigating Authority	Your Model Division	Suppliers Name	Suppliers Address	Country of Origin	Affiliation and Special Price Arrangements, if	Level of Trade	Invoice Date	Invoice Number	Contract Number	Unit: kl	Invoice Price (Net Amount)	Delivery Terms	Payment Terms	Transport and Insurance Expenses <sup>①</sup>	Import Duty <sup>①</sup>	Other Purchases Expenses <sup>①</sup>	Total Purchase Costs
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	y (Product Control Codes)					Any												

① All items in the above Form describe expenses incurred by your company. If no expenses were incurred, please fill in 0.

Form 2-1. Application of Trade Remedy or Non-Tariff Measures on the Product Under Investigation

Time	Name of Country (Region)	Type of Action	Result of Action

Form 2-2. Other Trade Remedy Investigations on the Product Under Investigation

Time	Name of Country (Region)	Type of Action	Current Status

Form 2-3 Comparison of Sales of the Product under Investigation and Its Like Product during the Investigation Period (Please adjust the line as required by the question)

①

Model Division by the Investigating Authority Product Control Codes	Your Model Division	The division of quality or grade (if any) in your company's daily operation	Export Sales to China	Domestic (Intraregional) Sales	Export Sales to Countries (Regions) other than China

① If the same product control code contains more than one models, or if the same model contains more than one product control codes, please fill in different lines. If you sell the product, please fill in "○", otherwise, please fill in "x".

Form 3-1. Export and Sale Clients①②

Client Name	Client Code	Client Address	Level of Trade	If Affiliated or Have Special Price Arrangements	Any Financial Assistance You Provided to the Client, e.g., Loans	Total Quantity of Product Under Investigation Sold to Client	Total Value of Product Under Investigation Sold to Client	Total Value of All Products Sold to Client	Total Amount of Discount and Rebate Provided to Client	General Payment Terms	General Delivery Terms

① Please fill out this form by client regardless of model and sort by the amount of the transaction value of the product under investigation.

② Please complete separate copies of the above form again based on different models of the product under investigation. The column "Total Value of All Products Sold to Client" may be omitted for this step.

Form 3-2. Resale Clients of the Affiliated Companies in China①②

Client Name	Client Code	Client Address	Level of Trade	If Affiliated or Have Special Price Arrangements	Any Financial Assistance You Provided to the Client, e.g., Loans	Total Quantity of Product Under Investigation Sold to Client	Total Value of Product Under Investigation Sold to Client	Total Value of All Products Sold to Client	Total Amount of Discount and Rebate Provided to Client	General Payment Terms	General Delivery Terms

① Please fill out this form by client regardless of model and sort by the amount of the transaction value of the product under investigation.

② Please complete separate copies of the above form again based on different models of the product under investigation. The column “Total Value of All Products Sold to Client” may be omitted for this step.

Form 3-3 Cause of Price Variance

	Mark with v if True	Mark with v if False
A Client-Related		
B Time-Related		
C Region-Related		

Form 3-4 Export Sales to China①

1.Transaction Serial Number	2. Client Name	3. Client Code	4. If Affiliated or Has Special Price Arrangements	5. Types of Clients	6. Model Division by the Investigating Authority	6-1 Category Code in the Product	6-2 Color Code in the Product	...	6-8 Small Producing Area Code in the	6-9 Your Model Division and	6-10 The Division of Quality or Grade (if any) in	7. Sales Invoice Date	..... ③	...	57 Supplier
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					(Product Control Codes)	Control Code	t Contro l Code		Product Control Code	Name	Your Company' s Daily Operation				
Total②															

① This form should be filled out horizontally in this format.

② Please provide a total amount for items that can be aggregated.

③ ... see the specific items below.

Specific requirements for completing "Form 3-4 Export Sales to China"

	Item	Requirement
1	Transaction Serial Number	Please fill out the transaction serial number. If the same transaction involves sales of products of different specifications, it will be divided into different transactions according to the specifications.
2	Name	Please fill out the name of the client.
3	Code	Please fill in the form with the accounting code from your company's accounting records representing the name of the client. Said code should be consistent with the code in Form 3-1.
4	If Affiliated or Have Special Price Arrangements	If you are affiliated with the client or have special price arrangements, please fill in "Yes"; if you sold to a non-affiliated company with no special price arrangements, please fill in "No". If the affiliated client consumed the product, please fill in "Yes (self-use)"; if the affiliated client resold to other clients, please fill in "Yes (resale)"; if the affiliated client resold to an affiliated company, please fill in "Yes (resale to affiliated)".
5	Types of Clients	Please fill out the types of clients in the transaction, e.g., Trading Company, Regional Distributor, Retailer, End User or Others.
6 and 6-1 to 6-8	Model Division by the Investigating Authority	Please provide the product model according to the product control codes divided by the Investigating Authority, and the code of each description separately. Please refer to "Section 2 Product under Investigation" for specific product codes.



6-9 and 6-10	Your model division and name, and the division of quality or grade (if any) in daily operation	Please fill in your model division and name, and the division of quality or grade (if any) in daily operation.
7	Sales Invoice Date	Please fill out the sales invoice date (YYYY-MM-DD) in Arabic numbers.
8	Sales Invoice Number	Please fill out the number of the invoice as depicted in your accounting books.
9	Date of Sale	If different from the sales invoice date, please fill out the transaction date (YYYY-MM-DD) recognized by you in Arabic numbers.
10	Contract Date	Please fill out the date (YYYY-MM-DD) when the sales contract was signed in Arabic numbers.
11	Contract Number	Please fill out the contract number.
12	Shipment Date	Please fill out the shipment date (YYYY-MM-DD) in Arabic numbers.
13	Payment Receipt Date	Please fill out the date (YYYY-MM-DD) in Arabic numbers.
14	Delivery Terms	Please fill out the delivery terms, e.g., FOB, CIF.
15	Payment Terms	Please fill out the payment terms, e.g., immediate payment, payment after 30 days. If the payment is in the form of a bill, please include some items in the form to list the name, number, amount, payment terms of the bills.
16	Quantity	Please fill out the quantity of each transaction based on the actual unit used and indicate the quantity unit in the title bar.
17	Unit: kl	Please fill out the quantity of each transaction in "kl" and be accurate to 3 decimal places.
18	Invoice Price	Please fill out the total price based on the actual currency used in the transaction and indicate the currency unit in the title bar.
19	Discount in Invoice	Please fill out the discount amount included in the invoice price.
20	Net Invoice Amount A	Please fill out the net invoice amount based on the actual currency used in the transaction.
21	Net Invoice Amount B	Please fill out the net invoice amount in the currency of the country (region) of origin. Please use the exchange rate at which the transaction was recorded in the accounting books.
22	Exchange Rate	Please fill out the exchange rate at which the transaction was recorded in the accounting books.
23	Price per Unit	Please fill out the price per unit in the currency of the country (region) of origin. Price per unit equals Net Invoice Amount B divided by Quantity (kl).

24	Advance Payment Discount	Please fill out the advance payment discount amount in the transaction.
25	Quantity Discount	Please fill out the quantity discount amount in the transaction.
26	Other Discounts	Please fill out the amount of other discounts in the transaction.
27	Rebate	Please fill out the rebate amount in the transaction.
28	Refund and Compensation	Please fill out the refund or compensation amount attributed to product quality or other issues in the transaction.
29	Inland Freight – Factory to Distribution Warehouse	Please fill out the cost associated to shipping from the factory to the distribution warehouse in the country (region) of production in the transaction. If the shipping cost is incurred from the factory to the export port, please fill the relevant data in item 31 of this form.
30	Pre-sale Warehousing Costs	Please fill out the direct warehousing cost in the country (region) of production before the product was sold. The cost in this section refers only to the direct costs incurred in storing the product in a non-manufacturer warehouse.
31	Inland Freight - Factory/Warehouse to Port	Please fill out the cost associated to shipping from the factory/warehouse to the export port in the country (region) of production in the transaction.
32	Inland Shipping Document Number	Please fill out the inland shipping document number, please fill out the number of the primary inland shipping document.
33	Inland Insurance Premium	Please fill out the inland insurance premium amount in the transaction.
34	Factory Loading and Unloading Fees and Other Related Fees	Please fill out the factory loading and unloading fees and other related fees in this transaction.
35	International Freight	Please fill out any air or sea freight charges incurred in this transaction.
36	International Shipping Document Number	Please fill out the international shipping document number, please fill out the number of the primary international shipping document, such as a BOL.
37	International Shipping Insurance Premium	Please fill out the international shipping insurance premium amount in the transaction.
38	Port Loading and Unloading fees and Other Related Fees	Please fill out port loading and unloading fees and other related fees in the transaction.
39	Packaging Cost	Please fill out any packing costs incurred in this transaction exporting to China, including labor, raw materials and general

		management. If the product was packaged by multiple companies, please provide a weighted average packaging cost. This item is only intended for packaging costs of the product under investigation incurred specifically for export to China. If the product under investigation was packaged in China, please add a column in the form to fill out the cost.
40	Credit Costs	Credit costs refer to the cost to the seller when the seller agrees to payment after delivery. Please fill out any credit cost incurred in this transaction.
41	Interest Income	Please fill out the interest amount acquired on the delayed payment in the transaction.
42	Guarantee Fee	The guarantee costs refer the cost to the seller when the seller provides the buyer with a guarantee for the product under investigation. This item is only intended for costs directly related to this transaction. Please fill out any guarantee cost incurred in this transaction.
43	After-sale Service Fees	Please fill out the cost to the seller when the seller provides the buyer with technical assistance or other after-sales services. This item is only intended for costs directly related to this transaction.
44	After-sale Warehousing Costs	Please fill out the cost to the seller when the seller provides the buyer with after-sale warehousing. This item is only intended for costs directly related to this transaction.
45	Advertising Costs	Please fill out any advertising costs directly related to this transaction.
46	Commission	Please fill out the amount of commission paid to associated or non-associated sales agents in the transaction. If there are many types of commissions, please list them separately.
47	Currency Conversion	Please fill out the fees that need to be adjusted due to currency conversion.
48	Export Inspection Fee	Please fill out the export inspection fee.
49	Customs Agency Fee	Please fill out the customs agency fee.
50	Export Tax Refund	Please fill out tax refund amount received on exporting the product to China.
51-1	Transit from the Bonded Area	If the product was shipped to the bonded area in China and then transferred directly to other countries, please fill in "Yes".
51-2	Processing Trade	If the transaction is processing trade import, please indicate "Yes".
52	Other Adjustments	Please fill out the fees directly related to the transaction that were not included in previous items.
53	Total Price Adjustment	Please fill out the total adjustment amount across all items applying for adjustment.
54	Price per Unit Adjustment	Total Price Adjustment divided by Quantity (kl).
55	Ex-factory Price	Please fill out the ex-factory price per unit.

56	CIF Price	Please fill out the total CIF price amount, i.e. the price declared at the Chinese customs. If the transaction was not concluded at CIF price, please estimate the CIF price and list other expenses incurred according to the requirements in Question 15 of Section 3 of this questionnaire. Please add these items that need to be adjusted.
57	Suppliers	If you purchased from other companies and then exported to China in this transaction, please fill out the supplier of the products in this transaction under this item.

Form 3-5 Resale of Affiliated Trading Companies in China<sup>①</sup>

1. Transaction Serial Number	2. Client Name	3. Client Code	4. If Affiliated or Has Special Price Arrange ments	5. Types of Clients	6. Model Division by the Investiga ting Authorit y (Product Control Codes)	6-1 <b>Category</b> Code in the Product Control Code	6-2 <b>Color</b> Code in the Product Control Code	.....	6-8 <b>Small Produci ng Area</b> Code in the Produc t Control Code	6-9 Your Model Division and Name	6-10 The Division of Quality or Grade (if any) in Your Compan y's Daily Operatio n	7. Sales Invoice Date	..... <sup>③</sup>	.....	54. Supplie rs
Total <sup>②</sup>															

① This form should be filled out horizontally in this format.

② Please provide a total amount for items that can be aggregated.

③ ... see the specific items below.

Specific requirements on filling Form 3-5 Resale of Affiliated Trading Companies in China①

	Item	Requirement
1	Transaction Serial Number	Please fill out the transaction serial number. If the same transaction involves sales of products of different specifications, it will be divided into different transactions according to the specifications.
2	Name	Please fill out the name of the Chinese client.
3	Code	Please fill out the accounting code of the client in your books. This code should be consistent with the code in Form 3-2.
4	If Affiliated or Have Special Price Arrangements	If you are affiliated with the client or have special price arrangements, please fill in "Yes"; if you sold to a non-affiliated company with no special price arrangements, please fill in "No". If the affiliated client consumed the product, please fill in "Yes (self-use)"; if the affiliated client resold to other clients, please fill in "Yes (resale)"; if the affiliated client resold to an affiliated company, please fill in "Yes (resale to affiliated)".
5	Types of Clients	Please fill out the types of clients in the transaction, e.g., Trading Company, Regional Distributor, Retailer, End User or Others.
6 and 6-1 to 6-8	Model Division by the Investigating Authority	Please provide the product model according to the product control codes divided by the Investigating Authority, and the code of each description separately. Please refer to "Section 2 Product under Investigation" for specific product codes.
6-9 and 6-10	Your model division and name, and the division of quality or grade (if any) in daily operation	Please fill in your model division and name, and the division of quality or grade (if any) in daily operation.
7	Sales Invoice Date	Please fill out the sales invoice date (YYYY-MM-DD) in Arabic numbers.
8	Sales Invoice Number	Please fill out the number of the invoice as depicted in your accounting books.
9	Date of Sale	If different from the sales invoice date, please fill out the transaction date (YYYY-MM-DD) recognized by you in Arabic numbers.
10	Contract Date	Please fill out the date (YYYY-MM-DD) when the sales contract was signed in Arabic numbers.
11	Contract Number	Please fill out the contract number.
12	Shipment Date	Please fill out the shipment date (YYYY-MM-DD) in Arabic numbers.
13	Payment Receipt Date	Please fill out the date (YYYY-MM-DD) in Arabic numbers.

14	Delivery Terms	Please fill out the delivery terms, e.g., FOB, CIF.
15	Payment Terms	Please fill out the payment terms, e.g., immediate payment, payment after 30 days. If the payment is in the form of a bill, please include some items in the form to list the name, number, amount, payment terms of the bills.
16	Quantity	Please fill out the quantity of each transaction based on the actual unit used and indicate the quantity unit in the title bar.
17	Unit: kl	Please fill out the quantity of each transaction in "kl" and be accurate to 3 decimal places.
18	Invoice Price	Please fill out the total price based on the actual currency used in the transaction and indicate the currency unit in the title bar.
19	Discount in Invoice	Please fill out the discount amount included in the invoice price.
20	Net Invoice Amount A	Please fill out the net invoice amount based on the actual currency used in the transaction.
21	Net Invoice Amount B	Please fill out the net invoice amount in the currency of the country (region) of origin. Please use the exchange rate at which the transaction was recorded in the accounting books.
22	Exchange Rate	Please fill out the exchange rate at which the transaction was recorded in the accounting books.
23	Price per Unit	Please fill out the price per unit in the currency of the country (region) of origin. Price per unit equals Net Invoice Amount B divided by Quantity (kl).
24	Advance Payment Discount	Please fill out the advance payment discount amount in the transaction.
25	Quantity Discount	Please fill out the quantity discount amount in the transaction.
26	Other Discounts	Please fill out the amount of other discounts in the transaction.
27	Rebate	Please fill out the rebate amount in the transaction.
28	Refund and Compensation	Please fill out the refund or compensation amount attributed to product quality or other issues in the transaction.
29	China Inland Freight – Port to Warehouse	Please fill out the shipping costs incurred in this transaction from the Chinese import port to a warehouse in China or other intermediate locations.
30	Pre-sale Warehousing Costs	Please fill out the direct warehousing cost before the product was sold. The cost in this section refers only to the direct costs incurred in storing the product in a non-manufacturer warehouse.
31	China Inland Freight - Warehouse to Non-affiliated Clients	Please fill out the shipping costs incurred in this transaction from a warehouse in China to a non-affiliated Chinese client.

32	China Inland Shipping Document Number	Please fill out the China inland shipping document number, please fill out the number of the primary shipping document.
33	China Inland Insurance Premium	Please fill out the inland insurance premium amount incurred in China in the transaction.
34	Other Transportation Costs Incurred in China	Please fill out other transportation cost incurred in China.
35	Factory Loading and Unloading Fees and Other Related Fees	Please fill out the factory loading and unloading fees and other related fees in this transaction.
36	Import Customs Duty	Please fill out the import duty due in the transaction.
37	Import Customs Declaration Fee	Please fill out the amount of import declaration fees, including customs related handling fees.
38	Re-packaging Cost Incurred in China	Please fill out any re-packing costs incurred in China in this transaction, including labor, raw materials and general management. If the product was packaged by multiple companies, please provide a weighted average packaging cost.
39	Deep Processing	If your products were further processed or assembled in China, please fill out the total cost of deep processing or assembly related to the transaction.
40	Credit Costs	Credit costs refer to the cost to the seller when the seller agrees to payment after delivery. Please fill out any credit cost incurred in this transaction.
41	Interest Income	Please fill out the interest amount acquired on the delayed payment in the transaction.
42	Guarantee Fee	The guarantee costs refer the cost to the seller when the seller provides the buyer with a guarantee for the product under investigation. This item is only intended for costs directly related to this transaction. Please fill out any guarantee cost incurred in this transaction.
43	After-sale Service Fees	Please fill out the cost to the seller when the seller provides the buyer with technical assistance or other after-sales services. This item is only intended for costs directly related to this transaction.
44	After-sale Warehousing Costs	Please fill out the cost to the seller when the seller provides the buyer with after-sale warehousing. This item is only intended for costs directly related to this transaction.

45	Advertising Costs	Please fill out any advertising costs directly related to this transaction.
46	Commission	Please fill out the amount of commission paid to associated or non-associated sales agents in the transaction. If there are many types of commissions, please list them separately.
47	Currency Conversion	Please fill out the fees that need to be adjusted due to currency conversion.
48	Profit	Please fill out the amount of profit realized in the transaction.
49	Other Adjustments	Please fill out the fees directly related to the transaction that were not included in previous items.
50	Total Price Adjustment	Please fill out the total adjustment amount across all items applying for adjustment.
51	Price per Unit Adjustment	Total Price Adjustment divided by Quantity (kl).
52	Ex-factory Price	Please fill out the ex-factory price per unit.
53	CIF Price	Please fill out the total CIF price amount, i.e. the price declared at the Chinese customs. If the transaction was not concluded at CIF price, please estimate the CIF price and list other expenses incurred according to the requirements in Question 15 of Section 3 of this questionnaire. Please add these items that need to be adjusted.
54	Suppliers	If you purchased from other companies and exported to China in this transaction, please fill out the supplier of the products in this transaction under this item.

Form 4-1 Clients of Domestic Sales①②

Name	Code	Address	Level of Trade	If Affiliated or Have Special Price Arrangements	Any Financial Assistance You Provided to the Client, e.g., Loans	The Quantity of the Product under Investigation Sold to This Client	The Total Revenue from the Product Under Investigation Sold to This Client	The Total Revenue from All Products Sold to This Client	The Amount of Discounts and Rebates Given to this Client	General Payment Terms	General Delivery Terms



- ① Please fill out this form by client regardless of model and sort by the amount of the transaction value of the product under investigation.
- ② Please fill out this form separately according to the different models of the product under investigation. The “The total revenue from all products sold to this client” column in the above form does not need to be filled out.

Form 4-2 Domestic Sales①

1.Transaction Serial Number	2. Clien t Na me	3. Clien t Cod e	4. If Affiliated or Has Special Price Arrangem ents	5. Type s of Clien ts	6. Model Division by the Investiga ting Authority (Product Control Codes)	6-1 <b>Categ ory</b> Code in the Produ ct Contr ol Code	6-2 <b>Color</b> Code in the Prod uct Contr ol Code	...	6-8 <b>Small Produci ng Area</b> Code in the Produc t Control Code	6-9 Your Mod el Divisi on and Nam e	6-10 The Division of Quality or Grade (if any) in Your Compa ny's Daily Operati on	7. Sales Invoi ce Date	..... ③	...	49. Weighte d Average Cost During Investigat ion	50. Compari son	51. Suppli ers
Total②																	

- ① This form should be filled out horizontally in this format.
- ② Please provide a total amount for items that can be aggregated.
- ③ ... see the specific items below.

Specific requirements on filling out Form 4-2 Domestic Sales

	Item	Requirement
1	Transaction Serial Number	Please fill out the transaction serial number. If the same transaction involves sales of products of different specifications, it will be divided into different transactions according to the specifications.
2	Name	Please fill out the name of the client.
3	Code	Please fill out the accounting code of the client in your books. This code should be consistent with the code in Form 4-1 Clients of Domestic Sales.
4	If Affiliated or Have Special Price Arrangements	If you are affiliated with the client or have special price arrangements, please fill in "Yes"; if you sold to a non-affiliated company with no special price arrangements, please fill in "No". If the affiliated client consumed the product, please fill in "Yes (self-use)"; if the affiliated client resold to other clients, please fill in "Yes (resale)"; if the affiliated client resold to an affiliated company, please fill in "Yes (resale to affiliated)".
5	Types of Clients	Please fill out the types of clients in the transaction, e.g., Trading Company, Regional Distributor, Retailer, End User or Others.
6 and 6-1 to 6-8	Model Division by the Investigating Authority	Please provide the product model according to the product control codes divided by the Investigating Authority, and the code of each description separately. Please refer to "Section 2 Product under Investigation" for specific product codes.
6-9 and 6-10	Your model division and name, and the division of quality or grade (if any) in daily operation	Please fill in your model division and name, and the division of quality or grade (if any) in daily operation.
7	Sales Invoice Date	Please fill out the sales invoice date (YYYY-MM-DD) in Arabic numbers.
8	Sales Invoice Number	Please fill out the number of the invoice as depicted in your accounting books.
9	Date of Sale	If different from the sales invoice date, please fill out the transaction date (YYYY-MM-DD) recognized by you in Arabic numbers.
10	Contract Date	Please fill out the date (YYYY-MM-DD) when the sales contract was signed in Arabic numbers.
11	Contract Number	Please fill out the contract number.
12	Shipment Date	Please fill out the shipment date (YYYY-MM-DD) in Arabic numbers.
13	Payment Receipt Date	Please fill out the date (YYYY-MM-DD) in Arabic numbers.

14	Delivery Terms	Please fill out the delivery terms.
15	Payment Terms	Please fill out the payment terms, e.g., immediate payment, payment after 30 days. If the payment is in the form of a bill, please include some items in the form to list the name, number, amount, payment terms of the bills.
16	Quantity	Please fill out the quantity of each transaction based on the actual unit used and indicate the quantity unit in the title bar.
17	Unit: kl	Please fill out the quantity of each transaction in "kl" and be accurate to 3 decimal places.
18	Invoice Price	Please fill out the total price in the currency of the country (region) you are in and indicate the currency unit in the title bar.
19	Discount in Invoice	Please fill out the discount amount included in the invoice price.
20	Net Invoice Amount	Please fill out the net invoice amount in the currency of the country (region) you are in.
21	Price per Unit	Please fill out the price per unit in the currency of the country (region) you are in. Price per unit equals net invoice amount divided by quantity (kl).
22	Advance Payment Discount	Please fill out the advance payment discount amount in the transaction.
23	Quantity Discount	Please fill out the quantity discount amount in the transaction.
24	Other Discounts	Please fill out the amount of other discounts in the transaction.
25	Rebate	Please fill out the rebate amount in the transaction.
26	Refund and Compensation	Please fill out the refund or compensation amount attributed to product quality or other issues in the transaction.
27	Adjustments due to Physical Characteristics	Please fill out the price difference between the products sold in the domestic market (country/region) and the products exported to China due to different physical characteristics. The differences between each model should be adjusted separately.
28	Adjustments due to Level of Trade	Please fill out the price difference between the products sold in the domestic market (country/region) and the products exported to China due to different levels of trade. The differences due to levels of trade reflected in other adjustment items should not be adjusted again under this item.
29	Inland Freight – Factory to Distribution Warehouse	Please fill out the cost associated to shipping from the factory to the distribution warehouse in the country (region) of production in the transaction. If the cost is incurred by shipping from the factory to the client, please fill the relevant data in item 31 of this form.
30	Pre-sale Warehousing Costs	Please fill out the direct warehousing cost in the country (region) of production before the product was sold. The cost in this section refers only to the direct costs incurred in storing the product in a non-manufacturer warehouse.

31	Inland Freight - Factory/Warehouse to the Client	Please fill out the cost associated to shipping from the factory/warehouse to the client in the country (region) of production in the transaction.
32	Inland Shipping Document Number	Please fill out the inland shipping document number, please fill out the number of the primary inland shipping document.
33	Inland Insurance Premium	Please fill out the inland insurance premium amount in the transaction.
34	Factory Loading and Unloading Fees and Other Related Fees	Please fill out the factory loading and unloading fees and other related fees in this transaction.
35	Packaging Cost	Please fill out any packing costs incurred in this transaction within the country (region), including labor, raw materials and general management. If the product was packaged by multiple companies, please provide a weighted average packaging cost. This item is only intended for packaging cost that is not incurred for export of the product under investigation to China.
36	Credit Costs	Credit costs refer to the cost to the seller when the seller agrees to payment after delivery. Please fill out any credit cost incurred in this transaction.
37	Interest Income	Please fill out the interest amount acquired on the delayed payment in the transaction.
38	Guarantee Fee	The guarantee costs refer the cost to the seller when the seller provides the buyer with a guarantee for the product under investigation. This item is only intended for costs directly related to this transaction. Please fill out any guarantee cost incurred in this transaction.
39	After-sale Service Fees	Please fill out the cost to the seller when the seller provides the buyer with technical assistance or other after-sales services. This item is only intended for costs directly related to this transaction.
40	After-sale Warehousing Costs	Please fill out the cost to the seller when the seller provides the buyer with after-sale warehousing. This item is only intended for costs directly related to this transaction.
41	Advertising Costs	Please fill out any advertising costs directly related to this transaction.
42	Commission	Please fill out the amount of commission paid to associated or non-associated sales agents in the transaction. If there are many types of commissions, please list them separately.
43	Other Adjustments	Please fill out the fees directly related to the transaction that were not included in previous items.

44	Total Price Adjustment	Please fill out the total adjustment amount across all items applying for adjustment.
45	Price per Unit Adjustment	Total Price Adjustment divided by Quantity (kl).
46	Ex-factory Price	Please fill out the ex-factory price per unit.
47	Monthly Average Unit Cost	If you are a manufacturer in this transaction, please fill out this column with the data from the month (based on the date of sale in each transaction) that the data for Cost and Expenses per Unit within the country (region) in Form 6-3 is in; if you buy from other companies, fill out the purchase cost.
48	Comparison	Please fill out the difference between the Price per Unit (item 21 of this form) and the Monthly Average Unit Cost (item 47 of this form).
49	Weighted Average Cost During Investigation	If you are a manufacturer in this transaction, please fill out the data for Weighted Average Cost During Investigation in Form 6-3.
50	Comparison	Please fill out the difference between the Price per Unit (item 21 of this form) and the Weighted Average Cost During Investigation (item 49 of this form).
51	Suppliers	If you purchased from other companies and sold within the country (region) in this transaction, please fill out the supplier of the products in this transaction under this item.

Form 4-3 Adjustment of Quantity Variance

Sale Volumes at a Discount	Average Volume of Each Domestic (Intraregional) Sale	Average Volume of Each Export Sale to China	Domestic Sales Volume at the Same Discount	Percentage of Domestic Sales Volume at the Same Discount to the Total Sales Volume	Whether there are different discounts for the same sales volume

Form 5-1 Production and Sales Volume and Inventory of the Product under Investigation (Unit: kl)

Items	2015	2016	2017	2018	2019
Average Production Capacity [ignore if you are a trading company]①					
Opening Stock					
Output (ignore if you are a trading company)					
Total Sales					
Total Self-use②					
Domestic Sales					
Total Export					
Among which: Export to China					
Export to Other Countries (Regions)					
operating rate (%) [ignore if you are a trading company]					
Closing Stock					
Inventories Held in China (excluding the amount of products purchased by affiliated parties or importers)					

① The average production capacity is the sum of the monthly design capacity of the equipment in operation in each month, and the new production capacity shall be

included in the current month of production; Monthly design production capacity of the equipment = design production capacity of the equipment / 12

(Operating rate can be expressed according to the commencement time of an individual case)

② Total self-use refers to the internal use of your like products for the production of downstream products, excluding the volume sold to your affiliates.

③ The formula for calculating the data listed in this form: Opening Stock + Output - Total Self-use - Domestic Sales - Total Export = Closing Stock. If you use a different formula, please explain.

Form 5-2 Exports of the Product Under Investigation to China

Period	Export Volume (kl)	Amount (USD)	Average Price (USD / kl)
2015			
2016			
2017			
2018			
2019			

Form 5-3 (a) Exports of the Product Under Investigation to China through Affiliated and Non-affiliated Importers

Data on Exports		2015	2016	2017	2018	2019
Total Export	Amount (USD)					
	Export Volume (kl)					
	Export Price (USD/kl)					
Among which: Through Non-affiliated Enterprises in China	Amount (USD)					
	Export Volume (kl)					
	Export Price (USD/kl)					
Through Affiliated Enterprises in China	Amount (USD)					
	Export Volume (kl)					

	Export Price (USD/kl)					
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Form 5-3(b) Resale Price to Non-affiliated Clients and Sales & Inventory of Affiliated Importers

Resale to Non-affiliated Clients	2015	2016	2017	2018	2019
Resale Volume (kl)					
Amount (USD)					
Average Price (USD/kl)					
Ending Inventory (kl)					

Form 5-4 Company's Export of the Like Product to Third Countries (Regions)

Country	Period	Export Volume (kl)	Amount (USD)	Average Export Price (USD/kl)
Country 1	2015			
	2016			
	2017			
	2018			
	2019			
Country...	2015			
	2016			
	2017			
	2018			
	2019			



Form 5-5 Production Capacity Distribution of Products (Product under Investigation)

Product Name Period	Unit Total Productio n Capacity	Product name		.....		.....	
		Productio n Volume	% Percent age	Productio n Volume	% Percent age	Producti on Volume	% Percentag e
2015							
2016							
2017							
2018							
2019							

Form 5-6 Production and Export of Other Products

Year	Revenue (%)	Cost (%)	Profit (%)	Other
2015				
2016				
2017				
2018				
2019				

Form 5-7 Operation of the Like Product of the Company

Serial Number	Items	2015	2016	2017	2018	2019
1	Sales revenue					
2	Production Cost (indicate Costs of Sale if you are a trading company)					
	Raw materials					
	Direct Wages					
	Manufacturing cost					
	Direct Costs					
3	Gross Profit					
	Cost of Sales					
	Administrative Expenses					
	Financial Expenses					
4	Pre-tax Profit					

Form 6-1-1 Procurement Cost of Raw Materials (providing details on the procurement cost of raw materials for the product under investigation and the like product during the investigation period)

Name of the Raw Material and Product Code①												
Sales Invoice Number	Supplier Name	Supplier Code	Affiliated with the Supplier?	Import or not?	Quantity	Invoice Amount	Currency	Exchange Rate	Price Recorded in Your Books	Other Procurement Costs	Other Procurement Costs②	Total Costs Recorded in Your

												Books
Total												
Opening Stock												
Closing Stock					-	-	-	-	-	-	-	
Total Consumption during the Investigation Period					-	-	-	-	-	-	-	
Unit Costs					-	-	-	-	-	-	-	

- ① Please specify the procurement cost of each raw material listed in Form 6-4 separately
- ② Please list the expenses incurred in the procurement of raw materials in separate columns, such as taxes, transportation costs and other expenses.

Form 6-1-2 Production Cost of Raw Materials (providing details on the Production cost of raw materials for the product under investigation and the like product during the investigation period)

		Corresponding Last Level Account Title or Code	Raw Material 1			.....		
			Quan tity	Amo unt	Unit Costs	Quanti ty	Amount	Unit Costs
Direct Material	Direct Material 1:							
	Direct Material 2:							
	Direct Material 3:							
	Direct Material 4:							
	...							
	Other:							

	<b>Total</b>		---	---		---	---	
Direct Labor	Wages and Benefits							
	Other							
	<b>Total</b>		---	---		---	---	
Fuel and Power	Fuel							
	Power							
	Other							
	<b>Total</b>		---	---		---	---	
Manufacturing Cost (if possible, please add in the details of the manufacturing costs)	Water and electricity							
	Direct Labor Wages and Benefits							
	Depreciation expenses							
	Material consumption							
	Travel Expenses							
	Experimental Expenses							
	Amortization of Deferred Assets							
	Amortization of Idle Equipment							
	Other							
	<b>Total</b>		---	---		---	---	
Production Costs	<b>Total</b>		---	---		---	---	
Opening Stock								
Closing Stock		-	-	-	-	-	-	

Total Consumption during the Investigation Period		-	-	-	-	-	-	
Unit Costs		-	-	-	-	-	-	

Form 6-2 Inventory Receipt and Dispatch Schedule (Monthly or Quarterly)

Serial Number	Name of Inventory	Product Code	Balance in Early January 2019		Increase in January 2019		Decrease in January 2019		February 2019...December 2019		Total Increase during the Investigation Period		Total Decrease during the Investigation Period		End of December 2019 Balance	
			Amount	Quantity	Amount	Quantity	Amount	Quantity	Amount	Quantity	Amount	Quantity	Amount	Quantity	Amount	Quantity
Total																

Form 6-3 Product Cost and Related Costs①

	January 2019	February 2019	.....	December 2019	Total during the Investigation Period
Product Name and Model②					
Production Quantity during This Period					
Quantity of Sales					

Direct Material <sup>③</sup>					
Direct Labor <sup>③</sup>					
Fuel and Power <sup>③</sup>					
Manufacturing Cost <sup>③</sup>					
Other Costs <sup>④</sup>					
<b>Total Production Cost</b>					
<b>Production Cost per Unit<sup>⑦</sup></b>					
Costs of Sale <sup>⑤</sup>					
Administrative Expenses <sup>⑤</sup>					
Financial Expenses <sup>⑤</sup>					
Other Expenses <sup>⑥</sup>					
<b>Total Related Expenses</b>					
<b>Related Expenses per Unit<sup>⑧</sup></b>					
<b>Cost and Expenses per Unit<sup>⑨</sup></b>					

① If there is a difference between the costs and expenses of domestic sales and export sales of the product under investigation and the like product, please list the costs and expenses of domestic (intraregional) sales, export to China and export to third countries (regions) separately per the requirements of this form.

② If there is a difference in the cost and expenses between different models, please fill out report on different specifications or models separately per the requirements of this form. **The product models shall be subject to the product control codes. Please refer to "Section 2 Product under Investigation" for specific product codes.**

③ Please try to report the specific cost/expenses of each item under Direct Material, Direct Labor, Fuel and Power, and Manufacturing Costs listed in Form 6-4.

If there are other costs/expenses related to production cost, please specify.

Please try to report the specific cost/expenses of each item under Cost of Sales, Administrative Expenses and Financial Expenses listed in Form 6-5.

⑥ If there are other expenses related to the product under investigation, please indicate these costs separately.

⑦ Total Production Cost divided by Production Quantity (kl) during this Period

⑧ Total Related Expenses divided by Quantity of Sales

⑨ Production Cost per Unit plus Related Expenses per Unit

Form 6-4 Production Cost of the Product under Investigation

		Corresponding Last Level Account Title or Code	Product under Investigation Model 1 (/kl)			.....		
			Quantity	Unit Price	Amount	Quantity	Unit Price	Amount
<b>Direct Material</b> (fill out details on the main materials or semi-finished products in the previous link of the product under investigation by category)	Direct Material 1:							
	Direct Material 2:							
	Direct Material 3:							
	Direct Material 4:							
	Direct Material 5:							
	Other:							
	<b>Total</b>		---	---		---	---	
<b>Direct Labor</b>	Wages and Benefits							
	Other							
	<b>Total</b>		---	---		---	---	
<b>Fuel and Power</b>	Fuel							
	Power							
	Other							
	<b>Total</b>		---	---		---	---	
<b>Manufacturing</b>	Water and electricity							

Cost (if possible, please add in the details of the manufacturing costs)	Direct Labor Wages and Benefits							
	Depreciation expenses							
	Material consumption							
	Travel Expenses							
	Experimental Expenses							
	Amortization of Deferred Assets							
	Amortization of Idle Equipment							
	Other							
	<b>Total</b>		— —	— —		— —	— —	
<b>Production Costs</b>	<b>Total</b>		— —	— —		— —	— —	

Explanation: 1. All the above items are based on **per unit of the product under investigation**. If there is a difference between the production costs of domestic sales and export sales of the product under investigation and the like product, please list the production costs of domestic (intraregional) sales, export to China and export to third countries (regions) separately per the requirements of this form.

- 2、 2. If there is a difference in production cost between different models, please report separately by models. **The product models shall be subject to the product control codes formulated by the Investigating Authority. Please refer to "Section 2 Product under Investigation" for specific product codes.**
3. Unit Price refers to the price of each cost factor of the product under investigation recorded in your books.
4. In separate columns, report the top 5 direct materials used to produce the product under investigation, based on their value; using international (inter-strait) common names.
5. If listed items involve apportionment, please provide the apportionment standard and formula.

Form 6-5 Profitability①



Items	All Your Products during the Investigation Period		Production of All Products under Investigation during the Investigation Period		Data Related to Domestic (Intraregional) Sales during the Investigation Period②			
					Product under Investigation		Other Products	
	Amount	%	Amount	%	Amount	%	Amount	%
Sales revenue								
Discount								
Net Sales Revenue								
<b>Total Costs of Sale</b>								
Commission								
Salesperson Salary and Bonus								
Salesperson Benefits								
Travel Expenses								
Communication Expenses								
Depreciation and Amortization								
Maintenance Fees								
Insurance Premium								
Advertising Cost								
After-sales Service								
Overseas Development Cost								
Shipping Cost								
Other Expenses③								

<b>Total Costs of Sale</b>								
Administrative Staff Salary								
Administrative Staff Bonus								
Communication Expenses such as Telephone Charges								
Depreciation and Amortization								
Maintenance Fees								
Insurance Premium								
Bad Debt								
Tax								
Other Expenses <sup>(3)</sup>								
R&D and Other Expenses <sup>(4)</sup>								
<b>Total Administrative Expenses</b>								
Interest Cost								
Interest Income								
Exchange Gains								
Exchange Losses								
Other Expenses <sup>(3)</sup>								
<b>Total Financial and Other Expenses</b>								
<b>Total of Cost of Sales, Administrative Expenses,</b>								

Financial and Other Expenses								
Net Profit ⑤⑥								

① In the % column, please indicate each item as a percentage of the corresponding Net Sales Revenue.

② In the same form, continue to list relevant data on export **sales to China and export sales to third countries (regions)** in the same format.

③ Please list **each specific cost in detail**.

④ Please explain how R&D expense is calculated and apportioned to specific products.

⑤ The total of each item shall be consistent with the data in the income statement. If there are incurred income and expenses that are not amortized to the above costs and expense items, please specify what the cost is and indicate the specific amount. The profits and cost and other expenses here should be consistent with your income statement.

⑥ The Net Profit here is pre-tax. This form does not require reporting of corporate income tax.

#### Form 6-6 Apportionment of Administrative Expenses

Head Office (Company)①	Administrative Expenses Breakdown (based on account title) ②	Amount Incurred During the Investigation Period	Benefiting Company (Department)	Apportionment Method③④	Amount that Should Be Apportioned to the Company (Department) Responsible for the Product under Investigation
	...				
	Grand Total				
Company (Department) Responsible for the Product under Investigation	Administrative Expenses Breakdown (based on account title)	Amount Incurred During the Investigation Period	Benefiting Product	Apportionment Method	Amount that Should Be Apportioned to the Product under Investigation or the Like Product
	...				
	Total				

	Head Office Administrative Expenses that Should be Allotted to the Department				
	Grand Total				
Data Related to Domestic (Intraregional) Sales during the Investigation Period	Administrative Expenses Breakdown (based on account title)	Amount that Should Be Apportioned to the Domestic Like Product during the Investigation Period		Apportionment Method	
	...				
	Grand Total				
Data Related to Export Sales to China during the Investigation Period	Administrative Expenses Breakdown (based on account title)	Amount that Should Be Apportioned to the Product under Investigation during the Investigation Period		Apportionment Method	
	...				
	Grand Total				
Data Related to Sales in Third Countries (Regions) during the Investigation Period	Administrative Expenses Breakdown (based on account title)	Amount that Should Be Apportioned to the Like Product Exported to Third Countries (Regions) during the Investigation Period		Apportionment Method	
	...				
	Grand Total				

- ① If you are part of a group company, fill out in the order of “Head Office – Company – Business Department – Different Markets”; if not, fill out in the order of “Company – Business Department – Different Markets”.
- ② Please list **each specific cost in detail** based on your account title. The total of each expense item of the head office (company) should be consistent with the data in the income statement.
- ③ Please explain how each expense is apportioned to specific products.
- ④ If there are income and expenses incurred that are not apportioned to the product under investigation, please specify what they are, the amount and why they are

not apportioned to the product under investigation.

Form 6-7 Apportionment of Costs of Sale

Head Office (Company) ①	Breakdown of Costs of Sale (based on account title) ②	Amount Incurred During the Investigation Period	Benefiting Company (Department)	Apportionment Method ③ ④	Amount that Should Be Apportioned to the Company (Department) Responsible for the Product under Investigation
	...				
	Grand Total				
Company (Department) Responsible for the Product under Investigation	Breakdown of Cost of Sale (based on account title)	Amount Incurred During the Investigation Period	Benefiting Product	Apportionment Method	Amount that Should Be Apportioned to the Product under Investigation or the Like Product
	...				
	Total				
	Head Office Costs of Sale that Should be Apportioned to the Department				
	Grand Total				
Data Related to Domestic (Intraregional) Sales during the Investigation Period	Breakdown of Cost of Sale (based on account title)	Amount that Should Be Apportioned to the Domestic Like Product during the Investigation Period		Apportionment Method	
	...				
	Grand Total				

Data Related to Export Sales to China during the Investigation Period	Breakdown of Cost of Sale (based on account title)	Amount that Should Be Apportioned to the Product under Investigation during the Investigation Period	Apportionment Method
	...		
	Grand Total		
Data Related to Sales in Third Countries (Regions) during the Investigation Period	Breakdown of Cost of Sale (based on account title)	Amount that Should Be Apportioned to the Like Product Exported to Third Countries (Regions) during the Investigation Period	Apportionment Method
	...		
	Grand Total		

① If you are part of a group company, fill out in the order of “Head Office – Company – Business Department – Different Markets”; if not, fill out in the order of “Company – Business Department – Different Markets”.

② Please list **each specific cost in detail** based on your account title. The total of each expense item of the head office (company) should be consistent with the data in the income statement.

③ In the apportionment method, please specify whether each expense is allotted to specific products per actually incurred or apportioned; if the latter, please explain the apportionment method.

④ If there are income and expenses incurred that are not apportioned to the product under investigation, please specify what they are, the amount and why they are not apportioned to the product under investigation.

Form 6-8 Apportionment of Financial and Other Expenses

Head Office (Company)①	Breakdown of Financial and Other Expenses (based on account title) ②	Amount Incurred During the Investigation Period	Benefiting Company (Department)	Apportionment Method③④	Amount that Should Be Apportioned to the Company (Department) Responsible for the Product under Investigation
	...				
	Grand Total				

Company (Department) Responsible for the Product under Investigation	Breakdown of Financial and Other Expenses (based on account title)	Amount Incurred During the Investigation Period	Benefiting Product	Apportionment Method	Amount that Should Be Apportioned to the Product under Investigation or the Like Product
	...				
	Total				
	Head Office Financial and Other Expenses that Should be Apportioned to the Department				
	Grand Total				
Data Related to Domestic (Intraregional) Sales during the Investigation Period	Breakdown of Financial and Other Expenses (based on account title)	Amount that Should Be Apportioned to the Domestic (Intraregional) Like Product		Apportionment Method	
	...				
	Grand Total				
Data Related to Export Sales to China during the Investigation Period	Breakdown of Financial and Other Expenses (based on account title)	Amount that Should Be Apportioned to the Product under Investigation during the Investigation Period		Apportionment Method	
	...				
	Grand Total				
Data Related to Sales in Third Countries (Regions) during the Investigation Period	Breakdown of Financial and Other Expenses (based on account title)	Amount that Should Be Apportioned to the Like Product Exported to Third Countries (Regions) during the Investigation Period		Apportionment Method	
	...				
	Grand Total				

- ① If you are part of a group company, fill out in the order of “Head Office – Company – Business Department – Different Markets”; if not, fill out in the order of “Company – Business Department – Different Markets”.
- ② Please list **each specific cost in detail** based on your account title. The total of each expense item of the head office (company) should be consistent with the data in the income statement.
- ③ In the apportionment method, please specify whether each expense is allotted to specific products per actually incurred or apportioned; if the latter, please explain the apportionment method.
- ④ If there are income and expenses incurred that are not apportioned to the product under investigation, please specify what they are, the amount and why they are not apportioned to the product under investigation.